



Rational Curves

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Symplectic
Varieties

Rational Curves

Semismallness

Dimension 4

Rational Curves on Symplectic Manifolds

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Symplectic Varieties

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A holomorphic 2-form ω on a smooth variety is called **symplectic** if it is closed and non-degenerate at every point.



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A holomorphic 2-form ω on a smooth variety is called **symplectic** if it is closed and non-degenerate at every point.

A **symplectic variety** is a normal variety Y whose smooth part admits a holomorphic symplectic form ω_Y such that its pull back to any resolution $\pi : X \rightarrow Y$ extends to a holomorphic 2-form ω_X on X .

We call π a **symplectic resolution** if ω_X is non degenerate on X , i.e. it is a symplectic form.



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More generally, a map $\pi : X \rightarrow Y$ is called a **symplectic contraction** if X is a symplectic manifold, Y is normal and π is a birational projective morphism. If moreover Y is affine we will call $\pi : X \rightarrow Y$ a **local symplectic contraction** or **local symplectic resolution**.



Properties of Symplectic Varieties

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Proposition

Let Y be a symplectic variety and $\pi : X \rightarrow Y$ be a resolution. Then the following statements are equivalent:

- (i) $\pi^* K_Y = K_X$,
- (ii) π is symplectic,
- (iii) K_X is trivial,
- (iv) for every symplectic form on Y_{reg} its pull-back extends to a symplectic form on X .

Note that Y is Gorenstein and K_Y is trivial.



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Corollary

By the Grauert Riemenschneider Theorem

$$0 = R^i \pi_* K_X = R^i \pi_* \mathcal{O}_X$$

for all positive i . In particular

- Y has rational singularities.
- All exceptional fibers of π are uniruled.





Rational Curves on Symplectic Varieties

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Theorem

Let $\pi : X \rightarrow Y$ be a symplectic resolution with $\dim X = 2n$. Let also $f : \mathbb{P}^1 \rightarrow X$ be a non constant morphism such that $f(\mathbb{P}^1)$ is a π -exceptional curve. Then

$$\dim \operatorname{Hom}_f(\mathbb{P}^1, X) \geq 2n + 1.$$

It was proved by Z. Ran (X projective) and J. Wierzbka (general).



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It was proved by Z. Ran (X projective) and J. Wierzbka (general).
They use a Theorem of Bogomolov, Beauville, Todorov:

Theorem

Let X be a compact symplectic manifold. Then the deformation space (the Kuranishi space) of the complex structure of X is smooth and its tangent space at $[X]$ is exactly $H^1(X, \Omega_X^1)$. Moreover, given a homology class $\alpha \in H_2(X, \mathbb{Q}) = H^{2,0}(X)^$ represented by a rational 1-cycle, there is a one-parameter deformation $\mathcal{X} = \{X_t\}_{t \in T}$ such that the flat lifting $\alpha \in H_2(X_t, \mathbb{Q})$ of α is no more an algebraic cycle for general $t \in T$.*



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Proof There exists a first order symplectic deformation of X , which stays in an unobstructed deformation χ , such that all deformations of f stay in X .



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Proof There exists a first order symplectic deformation of X , which stays in an unobstructed deformation χ , such that all deformations of f stay in X .

After showing that all the pertinent deformations are "represented" by algebraic spaces, one shows that $f : \mathbb{P}^1 \rightarrow X \subset \chi$ deform in a family of dimension (Mori)

$$\dim_{[f]} \mathrm{Hom}(\mathbb{P}^1, \chi) = \chi(\mathbb{P}^1, f^* T_\chi) \geq \dim \chi - \deg f^* K_\chi \geq 2n + 1.$$



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Since all the deformation of f stays in X then $\dim \text{Hom}_f(\mathbb{P}^1, X) = \dim \text{Hom}_g(\mathbb{P}^1, \chi)$ and we are done.



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As a corollary we have the following Theorem (**semismall property**).

Theorem

A symplectic resolution $\pi : X \rightarrow Y$ is semismall, that is for every closed subvariety $Z \subset X$ we have $2 \operatorname{codim} Z \geq \operatorname{codim} \pi(Z)$. If equality holds Z then is called a maximal cycle.



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Sketch of proof: let $F \subset X$ be a generic fiber of $Z \rightarrow \pi(Z)$, let also $d = \dim Z$ and $e = \dim(\pi(Z))$.

We know that all exceptional fibers are uniruled; take then \mathcal{V} be a generically unsplit family which covers F and let $V := u^{-1}(\mathcal{V})$.



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By previous disequalities we have $\dim V = \dim \text{Locus}(V) + \dim \text{Locus}(V, 0 \rightarrow x) + 1 \leq 2\dim F + 1 = 2d - 2e + 1$.



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Let $f : \mathbb{P}^1 \rightarrow F$ be a rational curve in V ; since $f(\mathbb{P}^1)$ gets contracted under π , all its deformations in X stay in the exceptional set and we may assume that all small deformations stay in Z .



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Therefore $\dim_{[f]} \text{Hom}(\mathbb{P}^1, X) = \dim_{[f]} \text{Hom}(\mathbb{P}^1, Z) = \dim_{[f]} \text{Hom}(\mathbb{P}^1, F) + e \leq 2d - e + 1$.



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Therefore $\dim_{[f]} \text{Hom}(\mathbb{P}^1, X) = \dim_{[f]} \text{Hom}(\mathbb{P}^1, Z) = \dim_{[f]} \text{Hom}(\mathbb{P}^1, F) + e \leq 2d - e + 1$.

By the above Theorem we have on the other hand that $\dim_{[f]} \text{Hom}(\mathbb{P}^1, X) \geq 2n + 1$ and the Theorem follows.



Local symplectic contractions in dimension 4

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Let $\pi : X \rightarrow Y$ be a local symplectic contraction, $\dim X = 4$.

By the semismall property, the fibers of π have dimension less or equal to 2. We will denote with 0 the unique (up to shrinking Y to a smaller affine set) point such that $\dim \pi^{-1}(0) = 2$. If π is divisorial then the general non trivial fiber has dimension 1.



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The following theorem is a sort of *relative* characterization of the projective space: the hard part is to prove that the two dimensional fiber is normal.

(Proved by Wierzba-Wisniewski and in any dimension by Cho-Miyaoka-Shepherd-Barron.)

Theorem

Suppose that π is small (i.e. it does not contract any divisor). Then π is locally analytically isomorphic to the collapsing of the zero section in the cotangent bundle of \mathbb{P}^2 .

Therefore X admits a Mukai flop



4-dimensional local symplectic contr. are MDS

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The above theorem, together with Matsuki's termination of 4-dimensional flops, is the key ingredient in the proof of the following result.

Theorem

Let $\pi : X \rightarrow Y$ be a 4-dimensional local symplectic contraction and let $\pi^{-1}(0)$ be its only 2-dimensional fiber. Then X is a Mori Dream Space over Y . Moreover any SQM model of X over Y is smooth and any two of them are connected by a finite sequence of Mukai flops whose centers are over $0 \in Y$. In particular, there are only finitely many non isomorphic (local) symplectic resolutions of Y .



Examples: quotient symplectic singularities

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Let S be a smooth surface and denote by $S^{(n)}$ the *symmetric product* of S , that is $S^{(n)} = S^n / \sigma_n$, where σ_n is the group of permutations.

Let also $\text{Hilb}^n(S)$ be the *Hilbert scheme* of 0-cycles of degree n .



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Suppose now that $S \rightarrow S'$ is a resolution of a Du Val singularity: $S' = \mathbb{C}^2 / H$ with $H < SL(2, \mathbb{C})$ a finite group. The composition

$$\text{Hilb}^n(S) \rightarrow S^{(n)} \rightarrow (S')^{(n)}$$

is a local symplectic contraction.



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Note that $(S')^{(n)}$ is a quotient singularity with respect to the action of the wreath product $H \wr \sigma_n = (H^n) \rtimes \sigma_n$ (σ_n permutes factors in $H^n = H^{\times n}$).



Central fiber in resolutions of $\mathbb{C}^4/(\mathbb{Z}_3 \wr \mathbb{Z}_2)$

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Dimension 4

Let consider the case $n = 2$ and $H := \mathbb{Z}_3 < SL(2)$.

Note that $\mathbb{Z}_3 \wr \mathbb{Z}_2$ has another nice presentation, namely $D_6 \rtimes \mathbb{Z}_3$, where D_6 is the dihedral group and \mathbb{Z}_3 acts on it by rotations.



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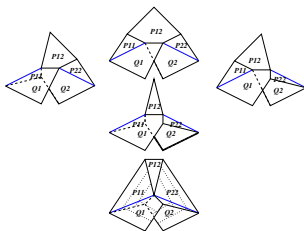
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The Figure presents a description of configurations of components in the special fiber of different symplectic resolutions





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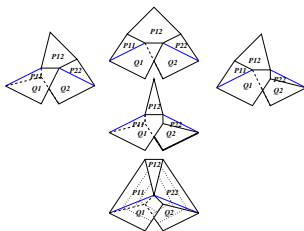
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The Figure presents a description of configurations of components in the special fiber of different symplectic resolutions



The configuration at the top is the Hilb-Chow map.

The one in the bottom, obtained by first resolving the singularities of the action of $D_6 = \sigma_3$ and then by resolving the singularities of the \mathbb{Z}_3 action, is called a $D_6 \rtimes \mathbb{Z}_3$ -resolution.



The Chow Scheme in dimension 4

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Let $\pi : X \rightarrow Y$ is a local symplectic divisorial contraction, $\dim X = 4$, $D \subset X$ the exceptional locus, $\dim D = 3$, and $S = \pi(D) \subset Y$ ($\dim S = 2$). A general fiber of π over any component of S is a configuration of \mathbb{P}^1 's with dual graph being a Dynkin diagram (Wierzba).



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Choose $S' \subset S$ an irreducible component, $C \cong \mathbb{P}^1$ irreducible curve in a (general) fiber over a point in $S' \setminus \{0\}$ and let D' be the irreducible component of D which contains C .

Let $\mathcal{V} \subset \text{Chow}(X/Y)$ be (the normalization of) an irreducible component of the Chow scheme of X containing C , $p : \mathcal{U} \rightarrow \mathcal{V}$ be the universal family and $q : \mathcal{U} \rightarrow D' \subset X$ be the evaluation map.



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The contraction π determines a morphism $\tilde{\pi} : \mathcal{V} \rightarrow S'$, let $\mu : \mathcal{V} \rightarrow \tilde{S}' \rightarrow S'$ be its Stein factorization.

The exceptional locus of μ is $\mu^{-1}(\nu^{-1}(0)) = \bigcup_i V_i$ where $V_i \subset \mathcal{V}$ are irreducible curves.



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$$\begin{array}{ccccc} \mathcal{U} & \xrightarrow{q} & D' \subset X & & \\ p \downarrow & & \downarrow \pi & & \\ \mathcal{V} & \xrightarrow{\mu} & \tilde{S}' & \xrightarrow{\nu} & S' \subset Y \end{array} \quad (4.0.1)$$



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Theorem

The surface \tilde{S}' has at most Du Val singularity at $\nu^{-1}(0)$ and $\mu : \mathcal{V} \rightarrow \tilde{S}'$ is its, possibly non-minimal, resolution. In particular every V_i is a rational curve.



The differential

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Consider the following maps:

- derivative map $Dq : q^* \Omega_X \rightarrow \Omega_{\mathcal{U}}$
- $Dp : p^* \Omega_{\mathcal{V}} \rightarrow \Omega_{\mathcal{U}} \longrightarrow \Omega_{\mathcal{U}/\mathcal{V}} \longrightarrow 0$,
- its dual $0 \longrightarrow T_{\mathcal{U}/\mathcal{V}} \longrightarrow T_{\mathcal{U}} \longrightarrow p^* T_{\mathcal{V}}$.
- the isomorphism $\omega_X : T_X \rightarrow \Omega_X$ given by the symplectic form ω_X on X .



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- the isomorphism $\omega_X : T_X \rightarrow \Omega_X$ given by the symplectic form ω_X on X .

Collect them in the following diagram

$$\begin{array}{ccccccc}
 T_{\mathcal{U}/\mathcal{V}} & \longrightarrow & T_{\mathcal{U}} & \xrightarrow{(Dp)^*} & p^*(T_{\mathcal{V}}) & & p^*(\Omega_{\mathcal{V}}) \\
 & & \downarrow (Dq)^* & & & & \downarrow Dp \\
 & & q^* T_X & \xrightarrow[q^*(\omega_X)]{} & q^* \Omega_X & \xrightarrow{Dq} & \Omega_{\mathcal{U}} \longrightarrow \Omega_{\mathcal{U}/\mathcal{V}}
 \end{array} \tag{4.0.2}$$



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Claim: the dotted arrow exists and it is obtained by a pull back of a two form $\omega_{\mathcal{V}}$ on \mathcal{V} .



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Claim: the dotted arrow exists and it is obtained by a pull back of a two form $\omega_{\mathcal{V}}$ on \mathcal{V} .

Dq is of maximal rank outside of $p^{-1}(\bigcup_i V_i)$ and p is just a \mathbb{P}^1 -bundle there. Thus $\omega_{\mathcal{V}}$ does not assume zero outside the exceptional set of μ . Hence $K_{\mathcal{V}} = \sum a_i V_i$, with $a_i \geq 0$ being the discrepancy of V_i .



Chow scheme in resolutions of $\mathbb{C}^4/(\mathbb{Z}_3 \wr \mathbb{Z}_2)$

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We note that although the surface \widetilde{S}' is the same for all the symplectic resolutions of Y , the parametric scheme for lines, which is a resolution of \widetilde{S}' , may be different for different SQM models.



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Dimension 4

We note that although the surface \tilde{S}' is the same for all the symplectic resolutions of Y , the parametric scheme for lines, which is a resolution of \tilde{S}' , may be different for different SQM models.

In the previous example we denote by \mathcal{V}_0 the component of $\text{Chow}(X/Y)$ dominating S' and parametrizing curves equivalent to e_0 ; it will change under flops.

Lemma

If X is the Hilbert-Chow resolution then \mathcal{V}_0 is the minimal resolution of A_2 singularity. If X is the $D_6 \rtimes \mathbb{Z}_3$ -resolution then \mathcal{V}_0 is non-minimal, with one (-1) curve in the central position of three exceptional curves.



Thank you for your attention

Rational Curves

Marco Andreatta

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Busan lighthouse