

The birational geometry of moduli spaces of even spin curves

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A **spin curve** of genus g is a pair (C, η) , with $[C] \in \mathcal{M}_g$ and $\eta \in \text{Pic}^{g-1}(C)$ with $\eta^{\otimes 2} = K_C$ a *theta characteristic*.

$\mathcal{S}_g := \{[C, \eta]\}$ moduli space of spin curves of genus g .

There is an étale covering $\pi : \mathcal{S}_g \rightarrow \mathcal{M}_g$, $\pi([C, \eta]) := [C]$.

Spin curves come in 2 types, **odd and even**: $\mathcal{S}_g = \mathcal{S}_g^- \amalg \mathcal{S}_g^+$,

$$\mathcal{S}_g^+ := \{[C, \eta] \in \mathcal{S}_g : h^0(C, \eta) \equiv 0 \pmod{2}\}$$

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$$\mathcal{S}_g^- := \{[C, \eta] \in \mathcal{S}_g : h^0(C, \eta) \equiv 1 \pmod{2}\}.$$

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What sort of varieties are \mathcal{S}_g^- and \mathcal{S}_g^+ ?

Theorem

(Farkas, Verra 2010)

1. The compactified moduli space $\overline{\mathcal{S}}_g^-$ of odd spin curves is of general type for $g \geq 12$.
2. $\overline{\mathcal{S}}_g^-$ is uniruled for $g \leq 11$ (unirational for $g \leq 9$).

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1. $\overline{\mathcal{S}}_g^+$ is uniruled for $g < 8$ (parametrization via *Nikulin surfaces*).
2. $\kappa(\overline{\mathcal{S}}_8^+) = 0$; The *Mukai model* of $\overline{\mathcal{S}}_8^+$ is Calabi-Yau of dimension 21.

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Remark

1. For $8 \leq g \leq 11$: $\overline{\mathcal{S}}_g^-$ and $\overline{\mathcal{S}}_g^+$ have different Kodaira dimension!
2. $\kappa(\overline{\mathcal{M}}_g)$ unknown for $17 \leq g \leq 21$; $\kappa(\mathcal{A}_6)$ unknown.

$$\begin{array}{ccc} \mathcal{S}_g & \longrightarrow & \overline{\mathcal{S}}_g \\ \downarrow \pi & & \downarrow \pi \\ \mathcal{M}_g & \longrightarrow & \overline{\mathcal{M}}_g \end{array}$$

Requirements for a compactification of \mathcal{S}_g :

- ▶ $\overline{\mathcal{S}}_g$ should be modular (i.e. represent a DM stack), have good singularities.
- ▶ $\pi : \overline{\mathcal{S}}_g \rightarrow \overline{\mathcal{M}}_g$ should be a finite branched covering.

Solution: **Cornalba compactification** using stable spin curves.

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A compactification of \mathcal{S}_g

Definition

A **stable** spin curve of genus g is a triple (X, η, β) , where:

- ▶ X is a quasi-stable with $p_a(X) = g$.
- ▶ $\eta \in \text{Pic}^{g-1}(X)$ is a line bundle such that $\eta_E = \mathcal{O}_E(1)$, for every rational component $E \subset X$ with $|E \cap \overline{X - E}| = 2$.
- ▶ $\beta : \eta^{\otimes 2} \rightarrow \omega_X$ is a sheaf-homomorphism such that $\beta_Z \neq 0$, for every non-exceptional component $Z \subset X$.

$\overline{\mathcal{S}}_g$ is the coarse moduli space associated to the stack of spin curves. There is a ramified map $\pi : \overline{\mathcal{S}}_g \rightarrow \overline{\mathcal{M}}_g$ given by $\pi([X, \eta, \beta]) = [\text{st}(X)]$.

Example

If $[C_{xy} := C/x \sim y] \in \Delta_0 \subset \overline{\mathcal{M}}_g$, with $[C, x, y] \in \mathcal{M}_{g-1,2}$, describe points $[X, \eta, \beta] \in \pi^{-1}([C_{xy}])$:

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Two types of spin curves over C_{xy} :

- ▶ Spin curves corresponding to **locally free** sheaves on $X = C_{xy}$:

$$[C_{xy}, \eta_C \in \text{Pic}^{g-1}(C), \eta_C^{\otimes 2} = K_C(x+y)] \in \overline{\mathcal{S}}_g^+.$$

- ▶ Those corresponding to **torsion free sheaves** on C_{xy} ; "blow-up" the node, get $X := C \cup_{x,y} E$, where $E \cong \mathbf{P}^1$.

$$[C \cup_{x,y} E, \eta_E = \mathcal{O}_E(1), \eta_C^{\otimes 2} = K_C] \in \overline{\mathcal{S}}_g^+.$$

Denote the closure in $\overline{\mathcal{S}}_g^+$ of these loci by A_0 and B_0 respectively. Set

$$\alpha_0 := [A_0], \beta_0 := [B_0] \in \text{Pic}(\overline{\mathcal{S}}_g^+).$$

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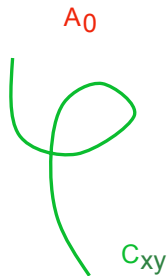
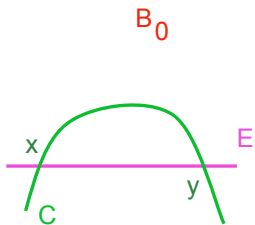
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To summarize:

1. $\pi^*(\delta_0) = \alpha_0 + 2\beta_0$.
2. B_0 is the ramification divisor of π .



The canonical class of $K_{\overline{\mathcal{S}}_g}^+$

The Hurwitz formula applied to the branched covering $\pi : \overline{\mathcal{S}}_g^+ \rightarrow \overline{\mathcal{M}}_g$:

$$K_{\overline{\mathcal{S}}_g}^+ = \pi^*(K_{\overline{\mathcal{M}}_g}) + \beta_0 \equiv 13\lambda - 2\alpha_0 - 3\beta_0 - \dots \in \text{Pic}(\overline{\mathcal{S}}_g^+).$$

Since singularities of $\overline{\mathcal{S}}_g^+$ impose no **adjoint conditions** (K. Ludwig), $\overline{\mathcal{S}}_g^+$ is of general type precisely when $K_{\overline{\mathcal{S}}_g^+}$ is big. To produce pluricanonical forms, we construct effective divisors $\mathcal{D} \in \text{Eff}(\overline{\mathcal{S}}_g^+)$, such that

$$K_{\overline{\mathcal{S}}_g^+} = a\lambda + b[\mathcal{D}] + \mathbb{Q}_{\geq 0} \cdot (\text{boundary divisors}),$$

where $a > 0$ and $b \geq 0$.

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Effective divisors on $\overline{\mathcal{S}}_g^+$

The **theta-null** divisor

$$\Theta_{\text{null}} := \{[C, \eta] \in \mathcal{S}_g^+ : h^0(C, \eta) \geq 2\}$$

$[C, \eta] \in \Theta_{\text{null}} \Leftrightarrow \exists Q \in H^0(\mathcal{I}_{C/\mathbb{P}^{g-1}}(2))$ with $\text{rk}(Q) = 3$, $C \cap \text{Sing}(Q) = \emptyset$.

Theorem

The class of the closure of Θ_{null} inside $\overline{\mathcal{S}}_g^+$ equals:

$$\overline{\Theta}_{\text{null}} \equiv \frac{\lambda}{4} - \frac{\alpha_0}{16} - \sum_{i=1}^{\lfloor g/2 \rfloor} \frac{\beta_i}{2} \in \text{Pic}(\overline{\mathcal{S}}_g^+).$$

Remark

$\overline{\Theta}_{\text{null}}$ has very small slope (good!) but coefficient of β_0 is 0 (bad!). Thus $\overline{\Theta}_{\text{null}}$ alone will not suffice, to conclude that $K_{\overline{\mathcal{S}}_g^+}$ is big.

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Brill-Noether divisors

Fix integers $r, d \geq 1$ such that $\rho(g, r, d) = -1$. Set

$$\mathcal{M}_{g,d}^r := \{[C] \in \mathcal{M}_g : C \text{ has a } \mathfrak{g}_d^r\}.$$

Theorem

When $\rho(g, r, d) = -1$, the locus $\mathcal{M}_{g,d}^r$ is an irreducible divisor in \mathcal{M}_g .
Moreover, the class of its closure in $\overline{\mathcal{M}}_g$ is:

$$\overline{\mathcal{M}}_{g,d}^r = c_{g,r,d} \left((g+3)\lambda - \frac{g+1}{6}\delta_0 - \sum_{i=1}^{\lfloor g/2 \rfloor} i(g-i)\delta_i \right).$$

Form a linear combination on $\overline{\mathcal{S}}_g^+$: ($K_{\overline{\mathcal{S}}_g^+} \equiv 13\lambda - 2\alpha_0 - 3\beta_0 - \dots$)

$$a \cdot \pi^*(\overline{\mathcal{M}}_{g,d}^r) + 8 \cdot \overline{\Theta}_{\text{null}} \equiv \frac{11g+29}{g+1}\lambda - 2\alpha_0 - 3\beta_0 - \dots$$

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$\overline{\mathcal{S}}_g^+$ is a variety of general type whenever

$$\frac{11g + 29}{g + 1} < 13 \iff g > 8.$$

For $g = 8$, the argument above shows that $\kappa(\overline{\mathcal{S}}_8^+) \geq 0$; $\exists a, a_i, b_i > 0$,

$$K_{\overline{\mathcal{S}}_8^+} \equiv a \cdot \pi^*(\overline{\mathcal{M}}_{8,7}^2) + 8 \cdot \overline{\Theta}_{\text{null}} + \sum_{i=1}^4 (a_i \cdot \alpha_i + b_i \cdot \beta_i),$$

where $\overline{\mathcal{M}}_{8,7}^2 \subset \overline{\mathcal{M}}_8$ is the irreducible locus of plane septic, and $\alpha_i, \beta_i \in \overline{\mathcal{S}}_8^+$ correspond to loci of curves of compact type.

Goal: Show that $\kappa(\overline{\mathcal{S}}_8^+) = 0$, i.e. this sum of divisors is rigid on $\overline{\mathcal{S}}_8^+$. In so, $\overline{\mathcal{S}}_8^+$ would be the first example of a moduli space of intermediate Kodaira dimension.

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where $\overline{\mathcal{M}}_{8,7}^2 \subset \overline{\mathcal{M}}_8$ is the irreducible locus of plane septic, and $\alpha_i, \beta_i \in \overline{\mathcal{S}}_g^+$ correspond to loci of curves of compact type.

Goal: Show that $\kappa(\overline{\mathcal{S}}_8^+) = 0$, i.e. this sum of divisors is rigid on $\overline{\mathcal{S}}_8^+$. In so, $\overline{\mathcal{S}}_8^+$ would be the first example of a moduli space of intermediate Kodaira dimension.

- ▶ Each component of $K_{\overline{\mathcal{S}}_8^+}$ is a uniruled, rigid, extremal divisor on $\overline{\mathcal{S}}_8^+$.
- ▶ Construct a covering curve $\mathfrak{R} \subset \overline{\Theta}_{\text{null}}$ such that

$$\mathfrak{R} \cdot \pi^*(\overline{\mathcal{M}}_{8,7}^2) = 0, \quad \mathfrak{R} \cdot \alpha_i = \mathfrak{R} \cdot \beta_i = 0 \quad \text{for } i \geq 1, \quad \mathfrak{R} \cdot \overline{\Theta}_{\text{null}} < 0.$$

Then $|nK_{\overline{\mathcal{S}}_8^+}| = 8n \cdot \overline{\Theta}_{\text{null}} + |n(K_{\overline{\mathcal{S}}_8^+} - 8\overline{\Theta}_{\text{null}})|$, and one repeats the procedure and removes $\pi^*(\overline{\mathcal{M}}_{8,7}^2)$ from the canonical system, then the boundary divisors. The most difficult step is the removal of $\overline{\Theta}_{\text{null}}$.

Mukai's model of $\overline{\mathcal{M}}_8$: Fix $V = \mathbb{C}^6$ and

$$\mathbf{G} := G(2, V) \hookrightarrow \mathbf{P}(\wedge^2 V) = \mathbf{P}^{14}$$

the Grassmannian of lines in \mathbf{P}^5 . Note that $\dim(\mathbf{G}) = 8$, $K_{\mathbf{G}} = \mathcal{O}_{\mathbf{G}}(-6)$, and that curve linear sections of \mathbf{G} are canonical curves of genus 8.

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Mukai model of $\overline{\mathcal{M}}_8$

$$\mathfrak{M}_8 := G(8, \wedge^2 V)^{ss} // SL(V)$$

There is a birational map $\phi : \overline{\mathcal{M}}_8 \dashrightarrow \mathfrak{M}_8$, given by $\phi^{-1}(H) := [\mathbf{G} \cap H]$, for a 7-plane $H \subset \mathbf{P}^{14}$. Note that $\rho(\mathfrak{M}_8) = 1$ (whereas $\rho(\overline{\mathcal{M}}_8) = 6$), thus $\text{Exc}(\phi)$ should have 5 irreducible components.

Theorem

*The morphism ϕ contracts the boundary divisors $\Delta_1, \dots, \Delta_4 \subset \overline{\mathcal{M}}_8$.
Furthermore, ϕ blows the septic locus $\overline{\mathcal{M}}_{8,7}^2$ down to a point.*

Let $[C, \eta] \in \Theta_{\text{null}}$, and $Q_C \in H^0(\mathcal{I}_{C/\mathbf{P}^7}(2))$ rank 3 quadric inducing η .
Restriction induces an isomorphism at the level of quadrics:

$$\text{res}_C : H^0(\mathbf{P}^{14}, \mathcal{I}_{\mathbf{G}/\mathbf{P}^{14}}(2)) \xrightarrow{\cong} H^0(\mathbf{P}^7, \mathcal{I}_{C/\mathbf{P}^7}(2)).$$

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There is a \mathbf{P}^6 of extensions of the canonical curve C by a $K3$ surface:

$$\begin{array}{ccccc}
 C & \longrightarrow & S & \longrightarrow & \mathbf{G} \\
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For each such extension, Q_C lifts to a quadric $Q_S \in H^0(\mathbf{P}^8, \mathcal{I}_{S/\mathbf{P}^8}(2))$.

$$\mathrm{rk}(Q_S) \leq 2 + \mathrm{rk}(Q_C) = 5.$$

Proposition

There exists a $K3$ extension $C \subset S \subset \mathbf{G} \subset \mathbf{P}^{14}$ with $\mathrm{rk}(Q_S) = 4$.

One has a finite covering $f : S \rightarrow Q_0 := \mathbf{P}^1 \times \mathbf{P}^1 \hookrightarrow \mathbf{P}^3$. The two projections induce elliptic pencils $|E_1|, |E_2|$ on S (thus $E_1^2 = E_2^2 = 0$), and $C \equiv E_1 + E_2$. Since $g(C) = 8$, it follows $E_1 \cdot E_2 = \deg(f) = 7$.

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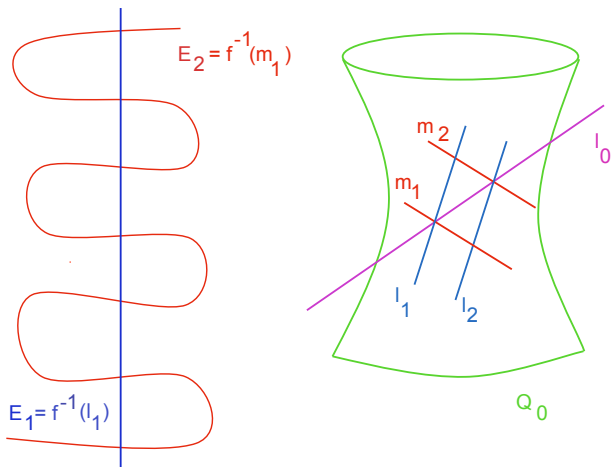
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A covering family for $\overline{\Theta}_{\text{null}}$: $f : S \xrightarrow{7:1} Q_0$

If $l_0 \subset \mathbf{P}^3$ is a general line, then \mathfrak{X} is induced by planes through l_0 :

$$\mathfrak{X} := f^*(\text{planes through } l_0) \subset \overline{\mathcal{S}}_8^+$$



The numerical characters of the spin family $\mathfrak{R} \subset \overline{\mathcal{S}}_8^+$:

- ▶ $\mathfrak{R} \cdot \lambda = \pi_*(\mathfrak{R}) \cdot \lambda = g + 1 = 9$.
- ▶ $\mathfrak{R} \cdot (\alpha_0 + 2\beta_0) = \pi_*(\mathfrak{R}) \cdot \delta_0 = 6(g + 3) = 66$ (number of singular fibres in a pencil of genus g curves on a $K3$ surface).

The pencil $\mathfrak{R} \subset \overline{\mathcal{S}}_8^+$ contains two singular fibres consisting each of two elliptic curves meeting in 7 points; these correspond to the planes in \mathbf{P}^3 containing the rulings through the points $l_0 \cap Q_0$. Each of these counts with multiplicity $7/2$ (the division by 2 because of the branching of β_0). Therefore

$$\mathfrak{R} \cdot \beta_0 = \frac{7}{2} + \frac{7}{2} = 7$$

and then $\mathfrak{R} \cdot \alpha_0 = 52$.

$$\mathfrak{R} \cdot \overline{\Theta}_{\text{null}} = \mathfrak{R} \cdot \left(\frac{\lambda}{4} - \frac{\alpha_0}{16} \right) = \frac{9}{4} - \frac{52}{16} = -1 < 0.$$

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Nikulin surfaces and $\overline{\mathcal{S}}_g^+$

Given a K3 surface S and a collection $\{R_j\}_{j=1}^N$ of disjoint rational curves on S , Nikulin asked in 1975, when is there a $2 : 1$ cover $\tilde{S} \rightarrow S$ branched precisely along $\bigcup_{j=1}^N R_j$? Equivalently, $\exists e \in \text{Pic}(S)$, such that

$$2e = \mathcal{O}_S(R_1 + \cdots + R_N).$$

Answer:

1. $N = 16$ and \tilde{S} is birational to an abelian variety: **Kummer surface**.
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We introduce several moduli spaces: the **Prym moduli space**

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\mathbf{P}^g -bundle over \mathcal{N}_g

$$\mathcal{PN}_g := \{([S, C, e]) : [S, \mathcal{O}_S(C)] \in \mathcal{N}_g, C \subset S\}.$$

There exists map $\chi_g : \mathcal{PN}_g \rightarrow \mathcal{R}_g$

$$[S, C, e] \xrightarrow{\chi} [C, e \otimes \mathcal{O}_C] \in \mathcal{R}_g.$$

Dimension count:

$$\dim(\mathcal{N}_g) = 11 (= 19 - \#\{R_j\}_{j=1}^8); \quad \dim(\mathcal{PN}_g) = 11 + g.$$

Question

When is χ_g dominant? A necessary condition is that

$$11 + g \geq 3g - 3 \Leftrightarrow g \leq 7.$$

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(F, Verra 2009) The general Prym curve $[C, \eta] \in \mathcal{R}_g$ lies on a Nikulin surface if and only if $g \leq 7, g \neq 6$.

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In genus 6: the locus $\text{Im}(\chi_6) \subset \mathcal{R}_6$ is a divisor, namely the *ramification locus of the Prym map* $\mathfrak{P}_t : \mathcal{R}_6 \rightarrow \mathcal{A}_5$. The general Prym curve $[C, \eta] \in \mathcal{R}_6$, lies instead on an *Enriques surface!*

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(Mukai) The general $[C] \in \mathcal{M}_g$ lies on a K3 surface if and only if $g \leq 11$ and $g \neq 10$. In genus 10, the locus

$$\mathcal{K}_{10} := \{[C] \in \mathcal{M}_{10} : C \text{ lies on a K3 surface}\}$$

is an irreducible divisor on \mathcal{M}_{10} .

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Theorem

(F, Verra 2010) The even spin moduli space $\overline{\mathcal{S}}_g^+$ is uniruled for $g \leq 7$.

Sketch of proof: Start with $[C, \eta] \in \mathcal{S}_g^+$. Choose $e_C \in \text{Pic}^0(C)[2]$ such that $\eta \otimes e_C = \mathcal{O}_C(x_1 + \cdots + x_{g-1})$ is an odd theta-characteristic.

Let

$$(C, \eta_C) \subset (S, e) \subset \mathbf{P}^g$$

be a Nikulin extension of C . Consider the pencil of hyperplanes in \mathbf{P}^g through the points x_1, \dots, x_{g-1} :

$$\{H_t\}_{t \in \mathbf{P}^1} := |\mathcal{I}_{\sum_{i=1}^{g-1} x_i/S}(C)|$$

induces a rational covering curve in $\overline{\mathcal{S}}_g^+$:

$$\{[C_t := H_t \cap S, e_{C_t} \otimes \mathcal{O}_{C_t}(x_1 + \cdots + x_{g-1})]\}_{t \in \mathbf{P}^1}.$$

Note that each section C_t will be tangent to H_t along the fixed divisor $x_1 + \cdots + x_{g-1}$. So $\overline{\mathcal{S}}_g^+$ is uniruled.

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