

# Arithmetic of singular Enriques surfaces

(joint with Klaus Hulek)

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# Enriques surfaces

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singular Enriques  
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**Enriques surface**  $Y =$

quotient of K3 surface  $X$  by a fixed point free involution  $\tau$

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Moduli theory induced from lattice-polarized K3 surfaces,  
but how about the arithmetic of Enriques surfaces?

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**Today:** fields of definition for specific Enriques surfaces  
– those covered by singular K3 surfaces

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**K3 surface**  $X$ : smooth, projective surface with  
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**Example:** Fermat quartic

$$X = \{x_0^4 + x_1^4 + x_2^4 + x_4^4 = 0\} \subset \mathbb{P}^3.$$

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48 lines have intersection matrix of rank 20 and discriminant  $-64$ ; hence they generate  $\text{NS}(X)$  up to finite index.  
[Non-trivial: showing that the lines generate  $\text{NS}(X)$ .]



# Torelli for singular K3 surfaces

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Positive-definite, even, integral quadratic form

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Positive-definite, even, integral quadratic form given by  $2 \times 2$  matrix  $Q(X)$  (up to conjugation in  $\text{SL}(2, \mathbb{Z})$ ):

$$Q(X) = \begin{pmatrix} 2a & b \\ b & 2c \end{pmatrix}.$$

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**Torelli:**  $X \cong Y \iff T(X) \cong T(Y)$

# Surjectivity of period map

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**Statement:** All  $2 \times 2$  matrices  $Q$  are attained by

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1. singular abelian surfaces ( $\rho(A) = 4$ ) [Shioda–Mitani '74]

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**Proof for 1.:** constructive

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**Proof for 1.:** constructive  $A = E_\tau \times E_{\tau'}$

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**Proof for 1.:** constructive  $A = E_\tau \times E_{\tau'}$   
for complex tori  $E_\tau = \mathbb{C}/(\mathbb{Z} + \tau\mathbb{Z})$

$$\tau = \frac{-b + \sqrt{d}}{2a}, \quad \tau' = \frac{b + \sqrt{d}}{2}.$$

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**Subtle point for 2.:** Kummer surfaces have

$$T(\text{Km}(A)) = T(A)(2),$$

so Kummer surfaces do not suffice to prove surjectivity.

# Shioda–Inose structure

Instead: exhibit a **double covering**  $X$  of  $Km(A)$  that is K3 and recovers  $T(A) = T(X)$

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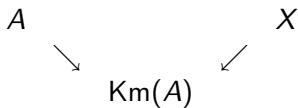
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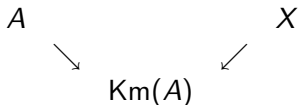
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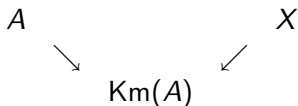
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**Example: Fermat quartic:**

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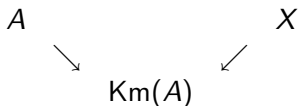
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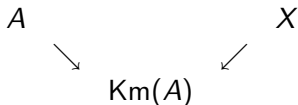


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**Example: Fermat quartic:**  $Q(X) = \begin{pmatrix} 8 & 0 \\ 0 & 8 \end{pmatrix}$ .

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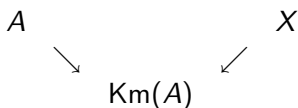
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2. Shioda-Inose surface for  $E_j \times E_{4j}$ .

# Interlude: CM elliptic curves

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$E' = E_{\tau'}$ , as above  $\implies$  **complex multiplication (CM)** by an  
order in  $K = \mathbb{Q}(\sqrt{d})$

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**Modularity:**  $L$ -function described by Hecke character  $\psi$

**Consequence:** singular abelian surface  $A$  defined over  $H(d)$ ,  
modular ( $\rightsquigarrow \psi^2$ )

# Arithmetic of singular K3 surfaces

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Obtain from Shioda–Inose structure:  
singular K3  $X$  defined over some number field,

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**Inose '77:** Explicit model as quartic in  $\mathbb{P}^3$  over extension of  
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(Weber functions for  $\tau, \tau'$  with  $j = j(E), j' = j(E')$ )

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# Arithmetic of singular K3 surfaces

Obtain from Shioda–Inose structure:  
singular K3  $X$  defined over some number field, but a priori  
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**Inose '77:** Explicit model as quartic in  $\mathbb{P}^3$  over extension of  
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# Singular Enriques surfaces

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universal covering is singular K3 surface  $X$

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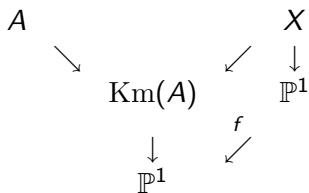
Proof: geometric in nature, combining Shioda–Inose structure and Kummer sandwich structure

# Shioda–Inose structure revisited

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Shioda–Inose structure relies on elliptic fibrations (over  $H(d)$ ):



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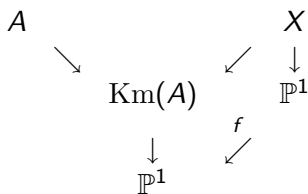
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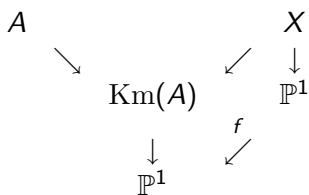
Shioda–Inose structure relies on elliptic fibrations (over  $H(d)$ ):



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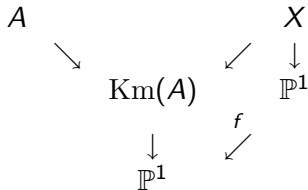


General picture ( $E \not\cong E'$ ): the reducible fibers are

- ▶  $X : 2 \times II^*$  ( $\sim$  root lattice  $E_8$ )
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- ▶  $Km(A) : II^*, 2 \times I_0^*$  ( $\sim$  root lattice  $D_4$ )

$f$  is a quadratic base change ramifying at the  $I_0^*$  fibers  
(replaced by smooth fibers  $F_0, F_\infty$  in  $X$ )

# Involutions

**Deck transformation**  $j =$  Nikulin involution  
desingularisation of  $X/j = \text{Km}(A)$

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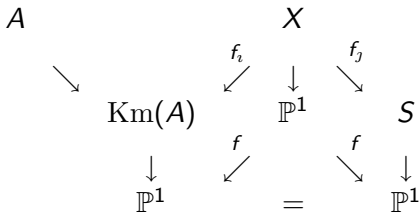
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$\text{NS}(X) = U + 2E_8 + \text{MWL}(X)(-1)$   
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**Conclusion:**  $MW(X) \begin{cases} \text{invariant for } j^* \\ \text{anti-invariant for } \iota^* \end{cases}$



# Base change type involution

Section  $P \in \text{MW}(X) \Rightarrow$  **translation** by  $P =: t_P \in \text{Aut}(X)$

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Answer lattice theoretically in terms of intersection  
behaviour of section on  $\text{Km}(A)$  with  $I_0^*$  fibers

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# Pictures

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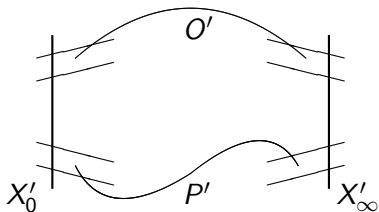
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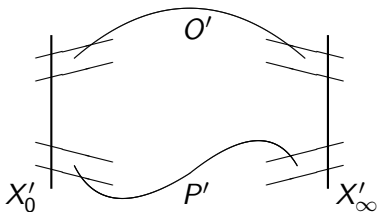
Enriques involution:



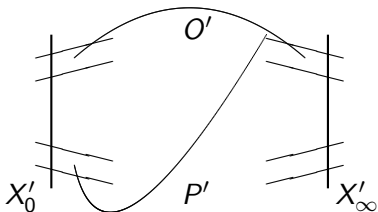
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No Enriques involution:



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$X$  singular K3 surface of discriminant  $d \not\equiv -3 \pmod{8}$ ,  
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use:  $\text{Gal}(\bar{\mathbb{Q}}/H(d))$  acts through automorphisms on  $\text{MW}(X)$

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## Proposition

$X$  singular K3 surface of discriminant  $d \not\equiv -3 \pmod{8}$ ,  
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(Exceptions for some cases where necessarily  $E \cong E'$ )

**Extension:** Enriques involution can be defined over  $H(d)$

only needed: model of  $X$  with  $P$  defined over  $H(d)$

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$\implies P$  defined over quadratic extension of  $H(d)$ , quadratic  
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# Summary so far

Geometric construction of Enriques involutions  $\tau$  on singular K3 surfaces within framework of Shioda–Inose structure

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such that universal cover  $X =$  singular K3 of discriminant  $d$

Then  $Y$  has a model over  $H(d)$ .

# Outline of the proof

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1.  $X$  has a model with  $\mathrm{NS}(X)$  defined over  $H(d)$  (i.e. generators defined over  $H(d)$ , or equivalently in this situation,  $\mathrm{NS}(X)$  is Galois invariant).

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- ▶  $\text{Aut}(X)$  is always discrete (Sterk), so  $\tau$  is defined over some number field.
- ▶ If a Galois element  $\sigma$  leaves  $\text{NS}(X)$  invariant, then  $\tau$  and  $\tau^\sigma$  induce the same action on  $T(X)$  and on  $\text{NS}(X)$ , so  $\tau = \tau^\sigma$  by Torelli.

# $NS(X)$ defined over $H(d)$

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**Sufficient** by Shioda–Inose structure:  $MW(X)/H(d)$



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Know this in case  $\text{Aut}(T(X)) = \mathbb{Z}/2\mathbb{Z}$

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1. Study singular Kummer surfaces.

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**Idea:**

1. Study singular Kummer surfaces.
2. Use Kummer sandwich structure for singular K3 surfaces (after Shioda).

# Singular Kummer surfaces

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singular Enriques  
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$A = E \times E' \implies$  projections induce elliptic fibrations

$$\begin{array}{ccc} A & \dashrightarrow & \text{Km}(A) \\ \downarrow & & \downarrow \\ E & \rightarrow & \mathbb{P}^1 \end{array}$$

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(and be less sloppy with notation  $\text{MWL}(\text{Km}(A))$  which so far always referred to the elliptic fibration in the Shioda–Inose structure)

# 1st elliptic fibration

Elliptic fibration  $\pi : K_m(A) = \{f(t)y^2 = g(x)\} \rightarrow \mathbb{P}_t^1$ ,  
singular fibers  $4 \times I_0^*$ , MW has full 2-torsion over  $H(4d)$

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**Proof:** Fiber components, 2-torsion defined over  $H(4d)$   $\square$

# Kummer sandwich structure

The elliptic fibration on the singular K3  $X$  from the Shioda-Inose structure admits a quadratic base change leading back to  $\mathbb{K}_m(A)$  over  $H(d)$ .

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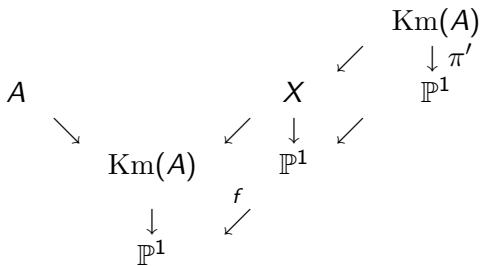
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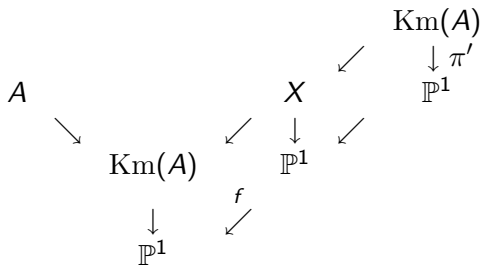
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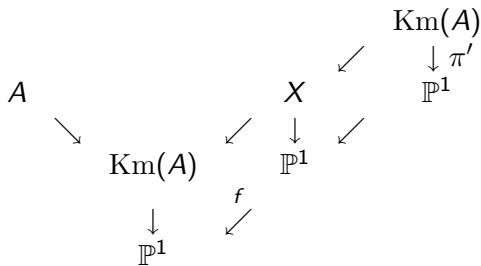
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**Pull-back:**  $\text{MWL}(X)(2) \hookrightarrow \text{MWL}(\text{Km}(A), \pi')$

**Idea:** compare image  $M$  with  $\text{MWL}(\text{Km}(A), \pi)$

# 2nd elliptic fibration

Elliptic fibration  $\pi' : \text{Km}(A) = \{f(t)y^2 = g(x)\} \rightarrow \mathbb{P}_y^1$ ,  
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Shioda's main feature of Kummer sandwich: Isometry

$$\text{MWL}(\text{Km}(A), \pi)(4) \cong M = \text{im}(\text{MWL}(X)(2) \hookrightarrow \text{MWL}(\text{Km}(A), \pi'))$$

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(Since endowing  $\pi'$  with a section is achieved by fixing a base point of the cubic pencil  $\{f(t)y^2 = g(x)\}$ .)



# Final step of proof

Distinguish two cases:

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Distinguish two cases:

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**Theorem.**  $X$  has a model with  $\text{NS}(X)$  over  $H(d)$ .

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**Example:**  $X = \text{Km}(E_\varrho^2)$ ,  $\varrho^2 + \varrho + 1 = 0$ : Shioda–Inose construction for  $j = 0, j' = 60^3/4 \rightsquigarrow B = 2^5 \cdot 3 \cdot 11\sqrt{-1}$ .

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Same with prescribed field of definition of NS or Num.

Thank you  
&  
all the best wishes to  
Alessandro, Ciro and Fabrizio!