

# On weakly APN functions and 4-bit S-Boxes

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*"A secrecy system is defined abstractly as a set of transformations of one space (the set of possible messages) into a second space (the set of possible cryptograms). Each particular transformation of the set corresponds to enciphering with a particular key. The transformations are supposed reversible (non-singular) so that unique deciphering is possible when the key is known."*<sup>1</sup>

## Formally

A **cryptosystem** is a tuple  $(\mathcal{M}, \mathcal{C}, \mathcal{K}, \Phi, \Psi)$ , where

$$\begin{aligned} \mathcal{M} &= \{\textit{plaintext}\} & \mathcal{C} &= \{\textit{ciphertext}\} & \mathcal{K} &= \{\textit{key}\} \\ \Phi &= \{\phi_k : \mathcal{M} \rightarrow \mathcal{C}\} & \Psi &= \{\psi_k = (\phi_k)^{-1} : \mathcal{C} \rightarrow \mathcal{M}\} \end{aligned}$$

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# Block ciphers

Let  $\mathcal{C} = \mathcal{M} = (\mathbb{F}_q)^n$  and  $\mathcal{K} = (\mathbb{F}_q)^r$  for some  $n, r \in \mathbb{N}$

## Algebraic block cipher

$$\phi : (\mathbb{F}_q)^n \times (\mathbb{F}_q)^r \rightarrow (\mathbb{F}_q)^n$$

is an *algebraic block cipher* if  $\forall k \in (\mathbb{F}_q)^r$ ,

$$\phi_k : (\mathbb{F}_q)^n \rightarrow (\mathbb{F}_q)^n, \quad \text{such that } \phi_k(x) = \phi(x, k)$$

is a permutation of  $(\mathbb{F}_q)^n$ .

Here we focus on the binary case  $q = 2$ .

# Translation Based Ciphers

Let  $\mathcal{M} = V = V_1 \oplus \cdots \oplus V_s$ ,  $\dim(V_i) = m$ ,  $\mathcal{S}_V = \text{Sym}(V)$

If  $\gamma \in \mathcal{S}_V$  is such that  $v\gamma = v_1\gamma_1 \oplus \cdots \oplus v_s\gamma_s \quad \forall v \in V$  then

- $\gamma$  is a **bricklayer transformation** or a *parallel S-box*
- $\gamma_i$  is a *brick* or a **S-box**

$\lambda$  proper

$\lambda \in GL(V)$  is **proper** if no vector subspace  $W = \bigoplus_{i \in I} (V_i) \subset V$ , with  $I \neq \emptyset, \{1, \dots, s\}$ , is invariant under the action of  $\lambda$ .

## Translation Based Block Cipher

An algebraic block cipher  $\phi$  is *translation based* if:

- $\phi_k = \tau_k^1 \circ \cdots \circ \tau_k^N$ , and every round  $\tau_k^h = \gamma \lambda \sigma_{\bar{k}}$ ;
- for at least one round we have that  $\lambda$  is proper and the function  $\mathcal{K} \rightarrow V$ ,  $k \mapsto \bar{k}$ , is surjective.

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# Primitivity of the permutation group

$$\Pi = \{\phi_k\}_{k \in \mathcal{K}}, \quad \Gamma = \Gamma(\phi) = \langle \phi_k \rangle_{k \in \mathcal{K}} = \langle \tau_k^{(1)} \circ \dots \circ \tau_k^{(N)} \rangle_{k \in \mathcal{K}}, \quad \Gamma < \mathcal{S}_V$$

In order to avoid weakness of a given cipher it is desirable that the permutation group is **primitive**.

## Block System

Let  $H$  be a subgroup of  $\mathcal{S}_V$ ,  $H < \mathcal{S}_V$ , and  $\mathcal{B} = \{X_1, \dots, X_N\} \subset 2^V$  a partition of  $V$ . We say that  $\mathcal{B}$  is a (non-trivial) *block system* for  $H$  if

$$\forall f \in H, \forall i \exists j \text{ t.c. } f(X_i) = X_j.$$

## Primitive action

Let  $\mathcal{B} = \{X_1, \dots, X_N\} \subset 2^V$ ,  $H < \mathcal{S}_V$ . We say that  $H$  is *primitive* in its action on  $V$  if

- 1 there are no non-trivial block systems;
- 2 the action of  $H$  is *transitive*, i.e.  $\forall (x, y) \in V^2$  exists  $f \in H$  such that  $f(x) = y$ .

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# Primitivity of the group generated by the round functions

Unfortunately, the knowledge of  $\Gamma(\phi)$  is out of reach for the most important ciphers, so we consider a larger group:

Group generated by the round functions

$$\Gamma_{\infty} = \langle \Gamma_h \rangle_{1 \leq h \leq N} = \langle \tau_{k_1}^{(1)}, \dots, \tau_{k_N}^{(N)} \rangle_{k_1, \dots, k_N \in \mathcal{K}}$$

where for every round  $h$  we set  $\Gamma_h = \langle \tau_k^{(h)} \rangle_{k \in \mathcal{K}}$ ,  $\Gamma_h < \mathcal{S}_V$ .

We have  $\Gamma \leq \Gamma_{\infty}$  and  $\Gamma_h \leq \Gamma_{\infty}$ , hence

$\Gamma_{\infty}$	$\implies$	$\Gamma$
imprimitive		imprimitive
primitive		primitive
		imprimitive

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We have  $\Gamma \leq \Gamma_{\infty}$  and  $\Gamma_h \leq \Gamma_{\infty}$ , hence

$\Gamma_{\infty}$	$\implies$	$\Gamma$
imprimitive		imprimitive
primitive		primitive imprimitive

# Differential uniformity

The primitivity of  $\Gamma_\infty$  depends on the properties of the S-boxes  $\gamma_i$  and  $\lambda$ . Since each S-box  $\gamma_i$  can be seen as vectorial Boolean function  $f : (\mathbb{F}_2)^m \rightarrow (\mathbb{F}_2)^m$ , we introduce some properties of the v.B.f.'s

$\forall u \in (\mathbb{F}_2)^m$

$$\begin{aligned} \hat{f}_u : (\mathbb{F}_2)^m &\longrightarrow (\mathbb{F}_2)^m \\ x &\longmapsto f(x) + f(x + u) \end{aligned}$$

$\delta$  uniformity of  $f$

$f$  is  $\delta$ -uniform if  $\forall u \in (\mathbb{F}_2)^m \setminus \{0\}$   
and  $\forall v \in (\mathbb{F}_2)^m$

$$|\{x \in (\mathbb{F}_2)^m : \hat{f}_u(x) = v\}| \leq \delta.$$

- $\downarrow \delta \uparrow$  security
- $\delta \geq 2$
- If  $\delta = 2$  then  $f$  is an APN function
- There are no APN functions for  $m = 4$

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# Weakly $\delta$ -uniformity and strongly $l$ -invariant

W.l.o.g., we consider B.f.  $f : (\mathbb{F}_2)^m \rightarrow (\mathbb{F}_2)^m$  such that  $f(0) = 0$ .

## weakly $\delta$ -uniform

$\forall m \geq 2, \delta \geq 2, f$  is *weakly  $\delta$ -uniform* if  $\forall u \in (\mathbb{F}_2)^m \setminus \{0\}$ ,

$$|\text{Im}(\hat{f}_u)| > \frac{2^{m-1}}{\delta}.$$

- $\downarrow \delta \implies \uparrow$  security
- If  $\delta = 2$  then  $f$  is a **weakly APN function**.

If  $f$  is differential  $\delta$ -uniform, then it is weakly  $\delta$ -uniform.

## strongly $l$ -anti-invariant

$f$  is *strongly  $l$ -anti-invariant*, if

$\forall V, W \leq (\mathbb{F}_2)^m$  such that  $f(V) = W$  we have

- either  $\dim(V) = \dim(W) < m - l$ ,
- or  $V = W = (\mathbb{F}_2)^m$ .

The largest subspace invariant under  $f$  has codimension strictly greater than  $l$ .

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## Theorem

Let  $\phi$  be a tb cipher,  $h$  a proper round,  $G = \Gamma_h(\phi)$  and  $1 \leq r \leq m/2$ . If every brick (i.e. every S-box) of  $\gamma$  is:

- 1 weakly  $2^r$ -uniform *and*
- 2 strongly  $r$ -anti-invariant,

then  $G$  is primitive and hence  $\Gamma_\infty(\phi)$  is primitive.

In the case  $m = 4$  we have only two possibilities:

- a.  $r = 1 \implies f$  weakly APN and strongly 1-anti invariant,
- b.  $r = 2 \implies f$  weakly 4-uniform and strongly 2-anti invariant

Actually, we will show that for 4-uniform functions case *b.* is a sub-case of *a.*

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## Case $b$ . implies case $a$ .

### Proposition

Let  $f : (\mathbb{F}_2)^4 \rightarrow (\mathbb{F}_2)^4$  be a Boolean function such that

- (i)  $f$  is 4-uniform
- (ii)  $f$  is strongly 2-anti-invariant.

Then  $f$  is weakly APN.

By contradiction, assume  $|\text{Im}(\hat{f}_u)| \leq 4$

$$\stackrel{(i)}{\implies} |\hat{f}_u^{-1}(y)| = 4 \quad \forall y \in \text{Im}(\hat{f}_u)$$

$$\implies \hat{f}_u^{-1}(f(u)) = \{0, u, x, u+x\} \text{ for some } x$$

$$\implies \blacktriangleright \hat{f}_u^{-1}(f(u)) \text{ is a 2-dimensional vector subspace}$$

$$\blacktriangleright \hat{f}_u(x) = \hat{f}_u(u)$$

$$\implies f(x+u) = f(u) - f(x)$$

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But this contradicts (ii)! □

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But this contradicts (ii)!



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We relate weakly APN functions  $f : (\mathbb{F}_2)^m \rightarrow (\mathbb{F}_2)^m$  to the following values:

Number of degree  $i$  components of  $f$

$$n_i(f) = |\{v \in (\mathbb{F}_2)^m \setminus \{0\} : \deg(\langle f, v \rangle) = i\}|$$

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- 1 if  $f$  is weakly APN, then  $\hat{n}(f) \leq 1$
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Indeed, we have explicit counterexamples to the converse of both statement 1 and statement 2.

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By contradiction, assume that  $\langle \hat{f}_u, v_1 \rangle$  and  $\langle \hat{f}_u, v_2 \rangle$  are constant for some  $u, v_1 \neq v_2 \in (\mathbb{F}_2)^4 \setminus \{0\}$ .

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$$v_1 \rightarrow (1, 0, 0, 0)$$

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$\implies$

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$$(\hat{f}_u)_1 = (\hat{f}_1)_u \text{ constant}$$

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Let  $(\mathbb{F}_2)^4 = \{x_1, \dots, x_{16}\}$  and let  $M = (m_{ij}) \in (\mathbb{F}_2)^{4 \times 16}$  with  $m_{ij} := (\hat{f}_u)_i(x_j)$

$$M = \begin{pmatrix} (\hat{f}_u)_1(x_1) & (\hat{f}_u)_1(x_2) & \dots & (\hat{f}_u)_1(x_{16}) \\ (\hat{f}_u)_2(x_1) & (\hat{f}_u)_2(x_2) & \dots & (\hat{f}_u)_2(x_{16}) \\ (\hat{f}_u)_3(x_1) & (\hat{f}_u)_3(x_2) & \dots & (\hat{f}_u)_3(x_{16}) \\ (\hat{f}_u)_4(x_1) & (\hat{f}_u)_4(x_2) & \dots & (\hat{f}_u)_4(x_{16}) \end{pmatrix}$$



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# Proof

By contradiction, assume that  $M$  has only  $n = 4$  distinct columns (the case  $n \leq 3$  is easier!), say the first 4 columns, and let  $M' \in (\mathbb{F}_2)^{4 \times 4}$  be the corresponding submatrix:

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If  $M'$  has rank  $\rho = 4$  (the case  $\rho \leq 3$  is easier!), then

$$\begin{aligned} (1, 1, 1, 1) &= a \left( (\hat{f}_u)_1(x_1), (\hat{f}_u)_1(x_2), (\hat{f}_u)_1(x_3), (\hat{f}_u)_1(x_4) \right) + \\ & b \left( (\hat{f}_u)_2(x_1), (\hat{f}_u)_2(x_2), (\hat{f}_u)_2(x_3), (\hat{f}_u)_2(x_4) \right) + \\ & c \left( (\hat{f}_u)_3(x_1), (\hat{f}_u)_3(x_2), (\hat{f}_u)_3(x_3), (\hat{f}_u)_3(x_4) \right) + \\ & d \left( (\hat{f}_u)_4(x_1), (\hat{f}_u)_4(x_2), (\hat{f}_u)_4(x_3), (\hat{f}_u)_4(x_4) \right) \end{aligned}$$

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## linearity

$$\text{Lin}(f) = \max_{a \in (\mathbb{F}_2)^m, b \in (\mathbb{F}_2)^m \setminus \{0\}} |\langle f, b \rangle^w(a)|$$

## 1-linearity

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### Optimal S-box

A Boolean permutation  $f : (\mathbb{F}_2)^4 \rightarrow (\mathbb{F}_2)^4$  is an *optimal S-Box* if it has minimal linearity and minimal  $\delta$ -uniformity, namely,  $\text{Lin}(f) = 8$  and  $f$  is 4-uniform.

### Serpent-type S-box

A Boolean permutation  $f : (\mathbb{F}_2)^4 \rightarrow (\mathbb{F}_2)^4$  is a *Serpent-type S-Box* if it is optimal and  $\text{Diff}_1(f) = 0$ .

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A Boolean permutation  $f : (\mathbb{F}_2)^4 \rightarrow (\mathbb{F}_2)^4$  is a *strong* S-Box if  $f$  is weakly APN and

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# Computational results

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## Remark

The assumptions of this Fact cannot be weakened: counterexamples are provided by the permutations

$$(i) (0, 1, 2, 12, 4, 6, 14, 5, 8, 3, 13, 10, 9, 7, 15, 11)$$

$$(ii) (0, 1, 2, 12, 4, 13, 11, 10, 8, 15, 5, 9, 6, 14, 7, 3)$$

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# Computational results

The 4-bit S-boxes  $f : (\mathbb{F}_2)^4 \rightarrow (\mathbb{F}_2)^4$  such that  $f(0) = 0$  are  $15! \sim 10^{12}$

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*Thank you for your attention.*