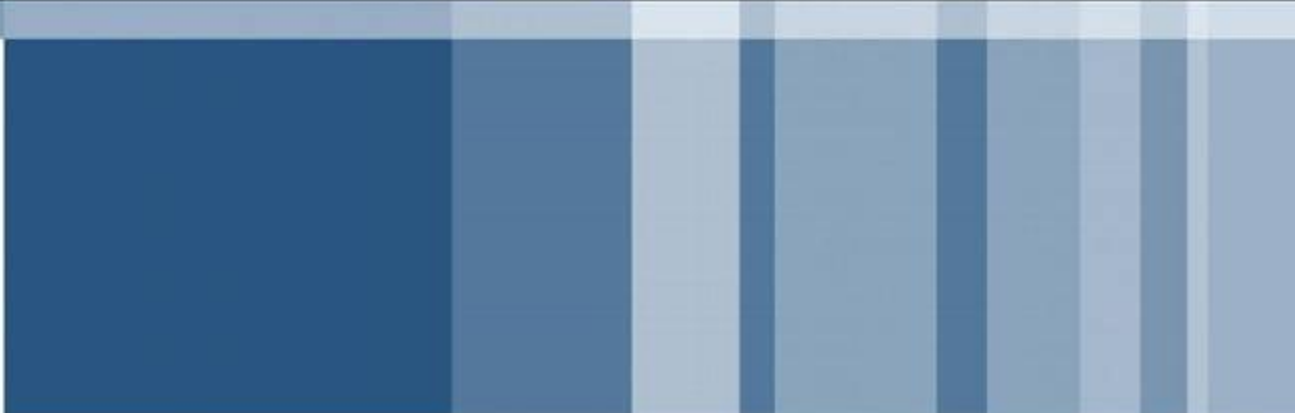




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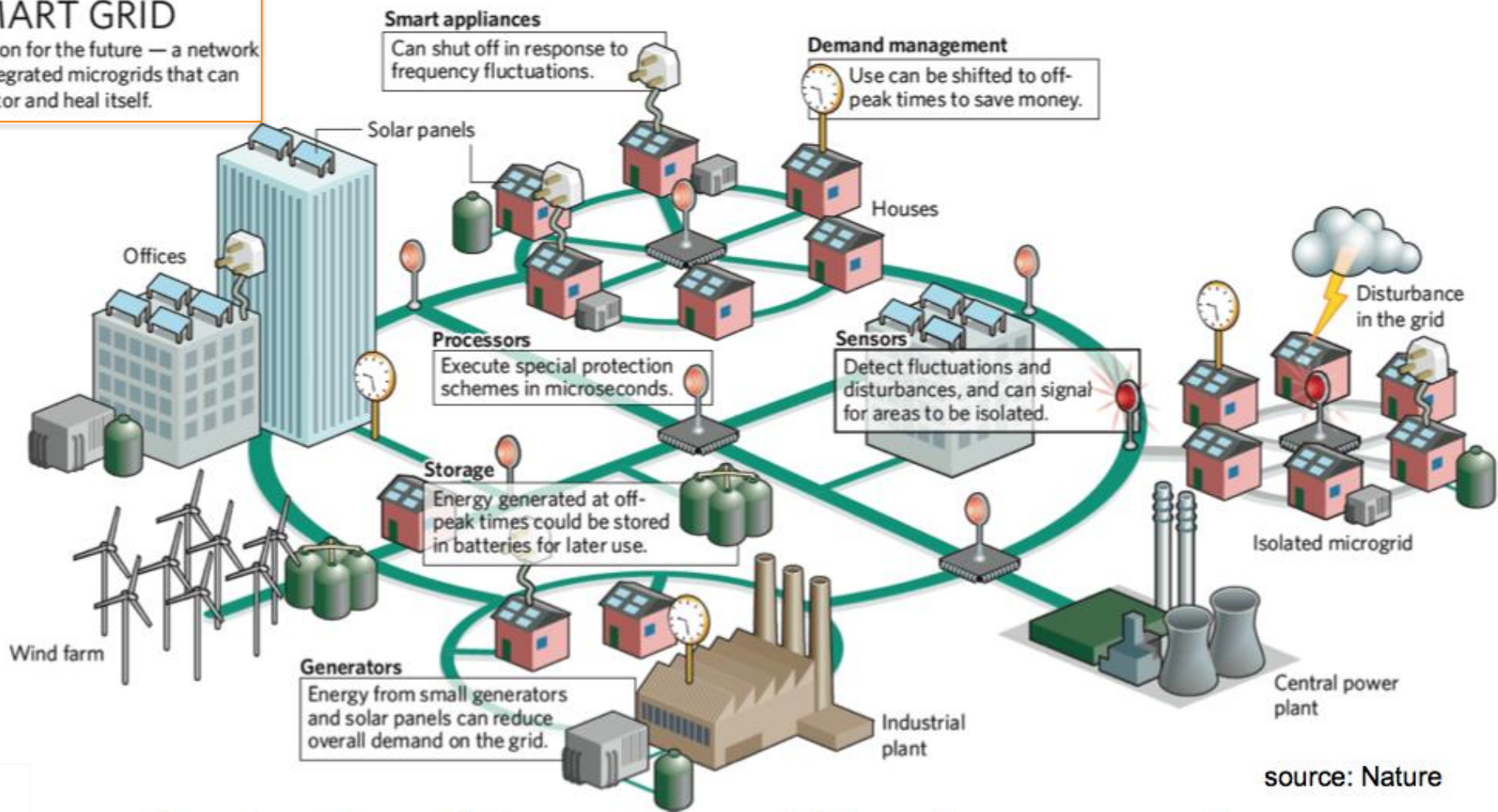


# **A DATA PSEUDONYMIZATION PROTOCOL FOR SMART GRIDS**

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**SMART GRID**  
 A vision for the future — a network of integrated microgrids that can monitor and heal itself.





- Detailed energy consumption measurements allow:
  - ✓ Timely management of energy distribution,
  - ✓ Efficient grid monitoring,
  - ✓ Energy forecasting and provisioning.
  - ✗ Inference of customers' personal habits,
  - ✗ Identifying and tracking customers,
  - ✗ Exposing customer behaviour for commercial benefits.



- Why anonymizing metering data?

According to NIST, “Smart Grid data should be anonymized wherever possible to limit the potential for computer matching of records.”

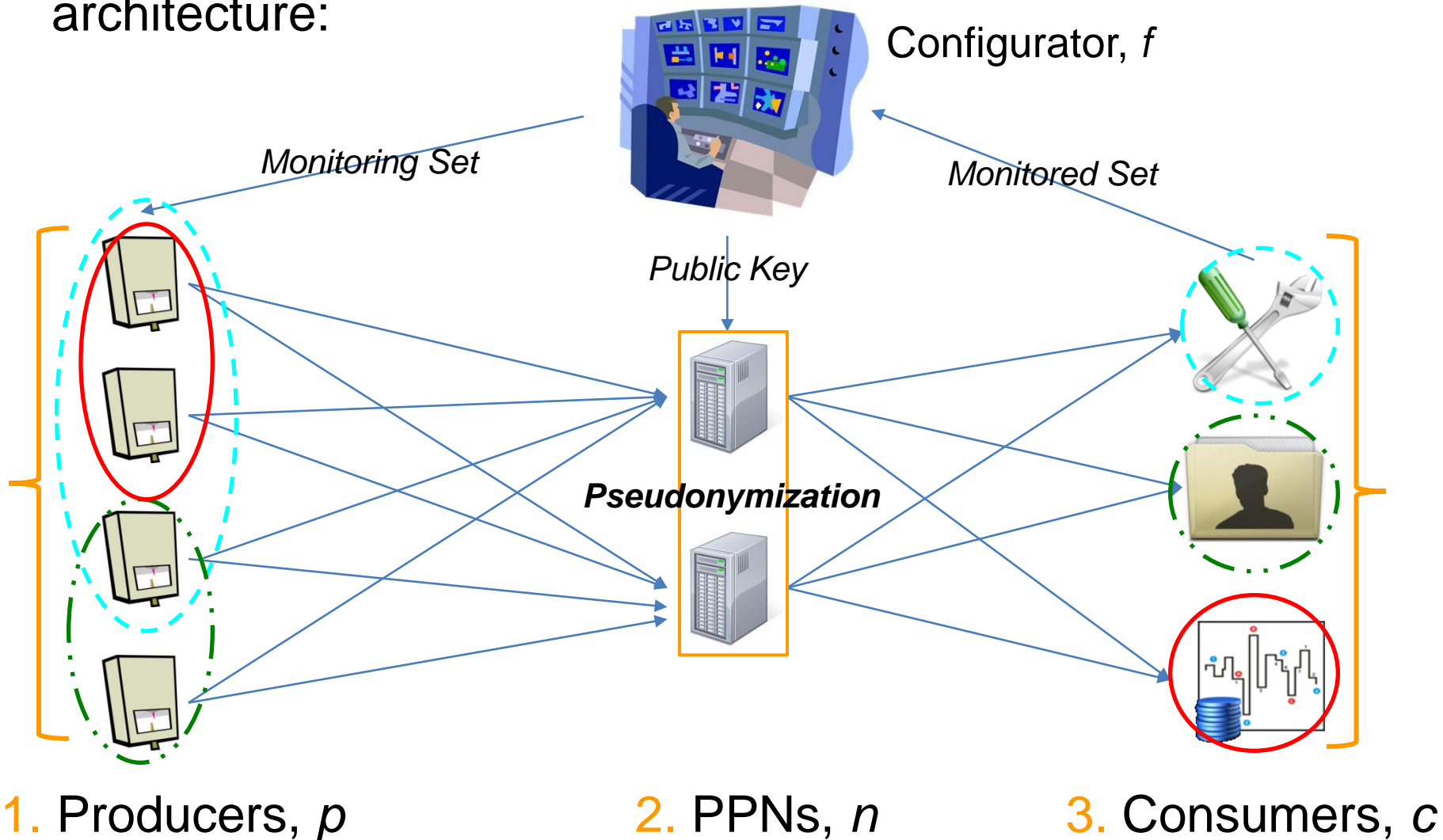
- Different approaches have been proposed for data anonymization, including:
  - ✓ Generalization
  - ✓ Perturbation
  - ✓ Pseudonimization
  - ✓ Aggregation



- Some open problems need to be solved:
  - ✓ Multiple data Consumers,
  - ✓ Low computational load on Meters,
  - ✓ Frequent re-pseudonymization,
  - ✓ Identity recovery, if necessary.



- Three different sets of nodes are comprised in proposed architecture:





- The pseudonymization protocol consists of a tuple of algorithms:
  - ✓  $\text{Setup}(1^l) \rightarrow (k_d, \text{params})$
  - ✓  $\text{pSend}(\text{param}, i, p, x_i^p) \rightarrow (e_i^p(1), \dots, e_i^p(n), \dots, e_i^p(N), ID_p, r_i^p)$
  - ✓  $\text{PPNSend}(\text{param}, i, n, ID_p, r_i^p, e_i^p(n)) \rightarrow (PD_c^p, e_i^p(n))$
  - ✓  $\text{cReceive}(\text{param}, i, c, PD_c^p, e_i^p(1), \dots, e_i^p(N)) \rightarrow (PD_c^p, x_i^p)$
- The encryption algorithm used in  $\text{pSend}$  is the Shamir Secret Sharing Scheme, that we assume to be *unconditionally secure*.



- ✓ Full Pseudonymization:

$$\Pr(\text{full} - p = 1) \leq \frac{1}{2} + \text{negl}(l)$$

- ✓ Full Pseudonymization with Perfect Forward Anonymity:

$$\Pr(\text{full} - p - pfa = 1) \leq \frac{1}{2} + \text{negl}(l)$$

- ✓ Unconditionally Indistinguishable Encryption:

$$\Pr(\text{blind} = 1) = \frac{1}{2}$$





# Security Properties

## The full-p experiment

- The experiment for an algorithm  $A$  and a parameter  $l$ , assumes an adversary Consumer  $c^*$ , and focuses on two Producers  $ID1, ID2$ .
- ✓ The Setup outputs the system parameters.
- ✓ The first and second Producers execute pSend and output the messages:  $msg_1^1 \dots msg_N^1, msg_1^2 \dots msg_N^2$ .
- ✓ Each PPN receives the two messages, and calls the PPNSend. Then each PPN sends two messages  $pmsg_n^p$  with  $p \in \{1,2\}$  to the Consumers.
- ✓ Finally each Consumer runs cReceive and obtains the measurement with the pseudonym.
- ✓ The malicious Consumer  $c^*$  executes  $A$  and outputs  $p' \in \{1,2\}$ .
- ✓ The output of the experiment is 1 if  $p' = p$ , and 0 otherwise.



- We use a keyed one-way function with trapdoor  $E_{k_e}(m,r) = y$  with the following properties:
- ✓ The Configurator generates public/private key pair and keeps the private key and distributes the public key.
- ✓ Our implementation of  $E_{k_e}$  builds upon *RSA with OAEP*.
- ✓ Each PPN calculates the pseudonym as:

$$PD_c^p = E_{k_e} [ID_p || c || \left\lfloor \frac{i}{\alpha} \right\rfloor \alpha, w_p^c]$$

- $ID_p$ : Producer's Identity
- $c$ : Consumer's Identity
- $i$ : round identifier
- $\alpha$ : length of the validity time span of pseudonym
- $w_p^c$ : security nonce

- There exists a p.t. algorithm that, given the private key, can **recover the identity** of Producer from its pseudonym.
  - ✓ This property is consequence of Configurator having the private key.
- Before sending its data, the **Producer is aware** of the set of Consumer monitoring its data.
  - ✓ This happens thanks to the message *SpecifyMonitoringSet*.
- Given a pair of distinct Producers' identities (p,p') and the same Consumer c, or a pair of distinct Consumers (c,c') and the same Producer p, the **output** of the function  $E_{k_e}$  is **always different**.
  - ✓ This property is consequence of using the ciphering function that relies on RSA with OAEP.

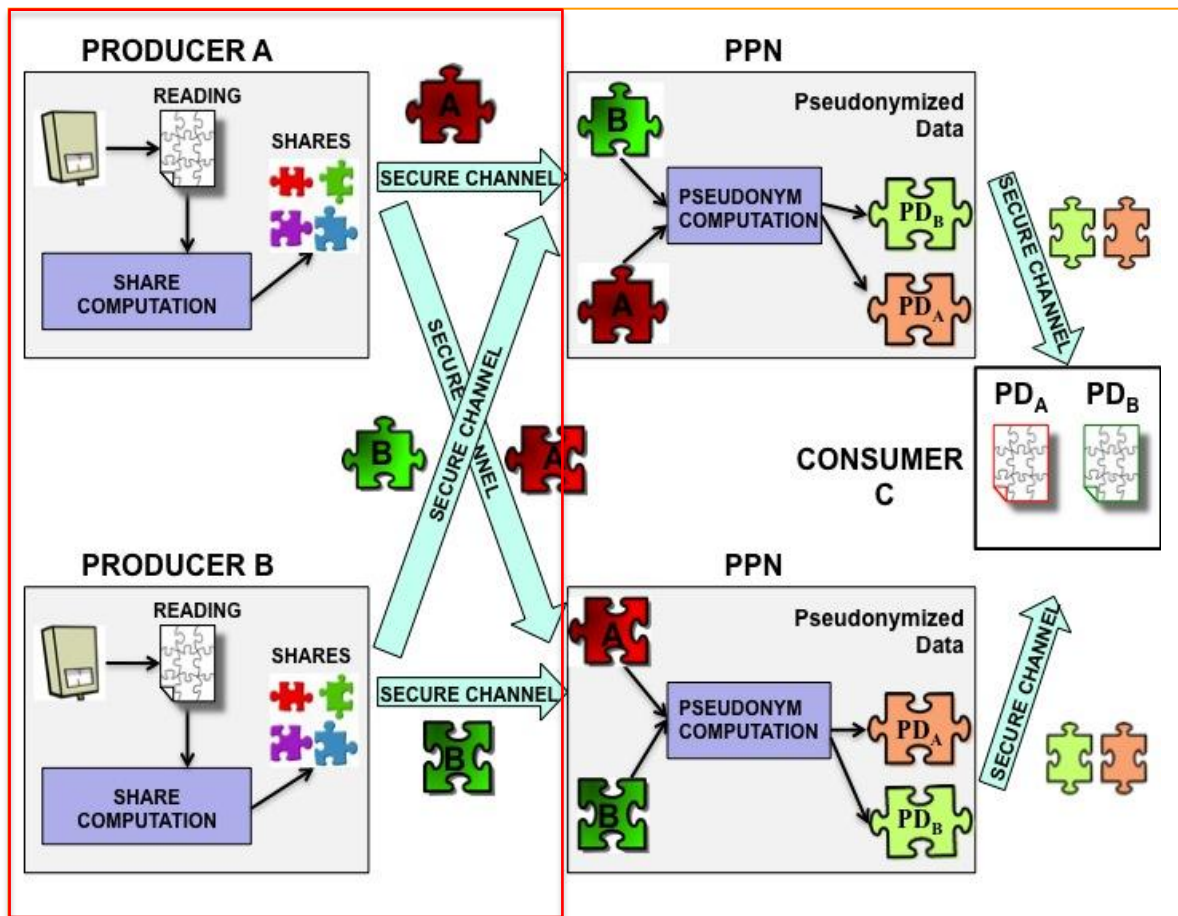


# Communication Protocol (I)

## Shamir Secret Sharing Scheme

At every round, the following procedure is repeated:

1. Producer  $p$  divides its measurements in  $t$  shares and gives one share to each of the  $t$  PPNs.





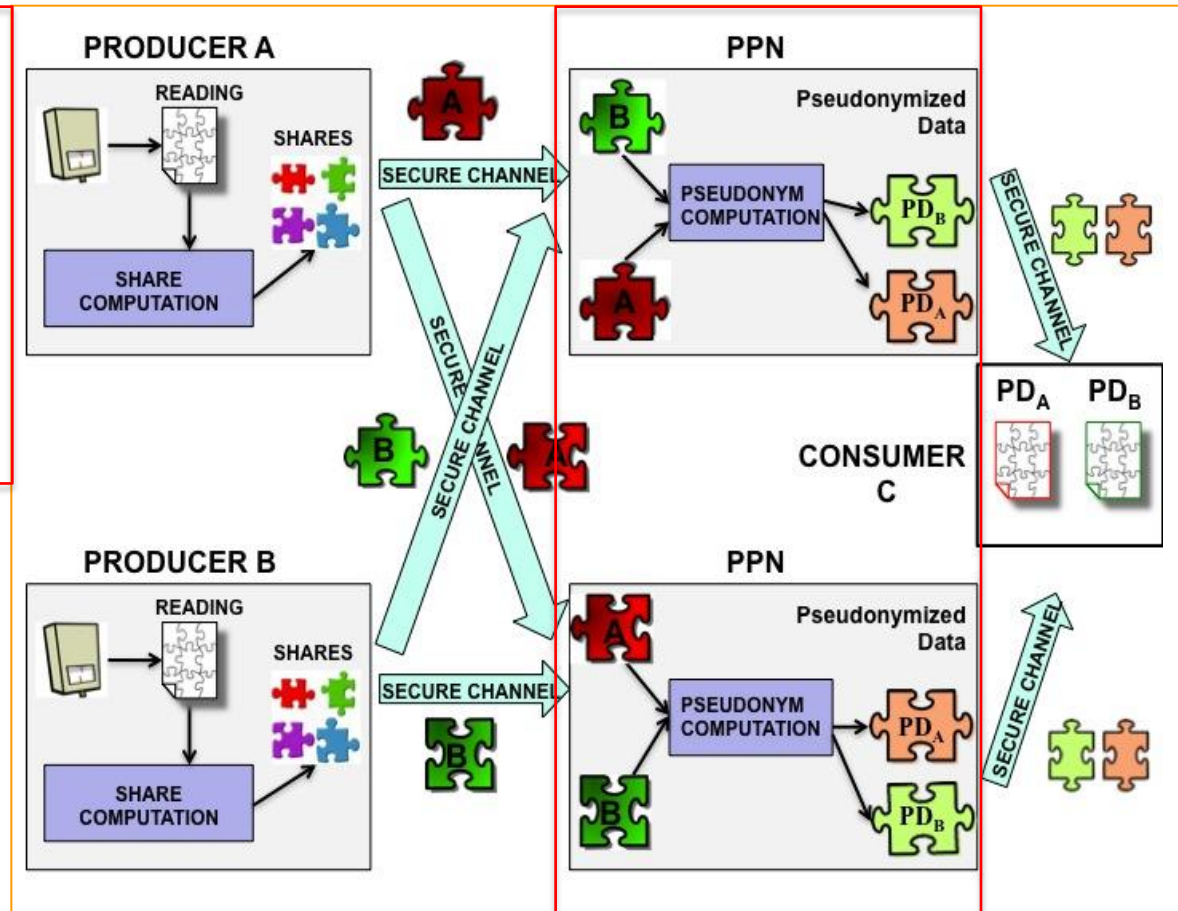
# Communication Protocol (II)

## Shamir Secret Sharing Scheme

At every round, the following procedure is repeated:

1. Producer  $p$  divides its measurements in  $t$  shares and gives one share to each of the  $t$  PPNs.

2. PPN  $n$  receives a share from Producer  $p$  destined to Consumer  $c$ , computes the pseudonym basing on  $p$  and  $c$  and sends it to  $c$  together with the share.





# Communication Protocol (III)

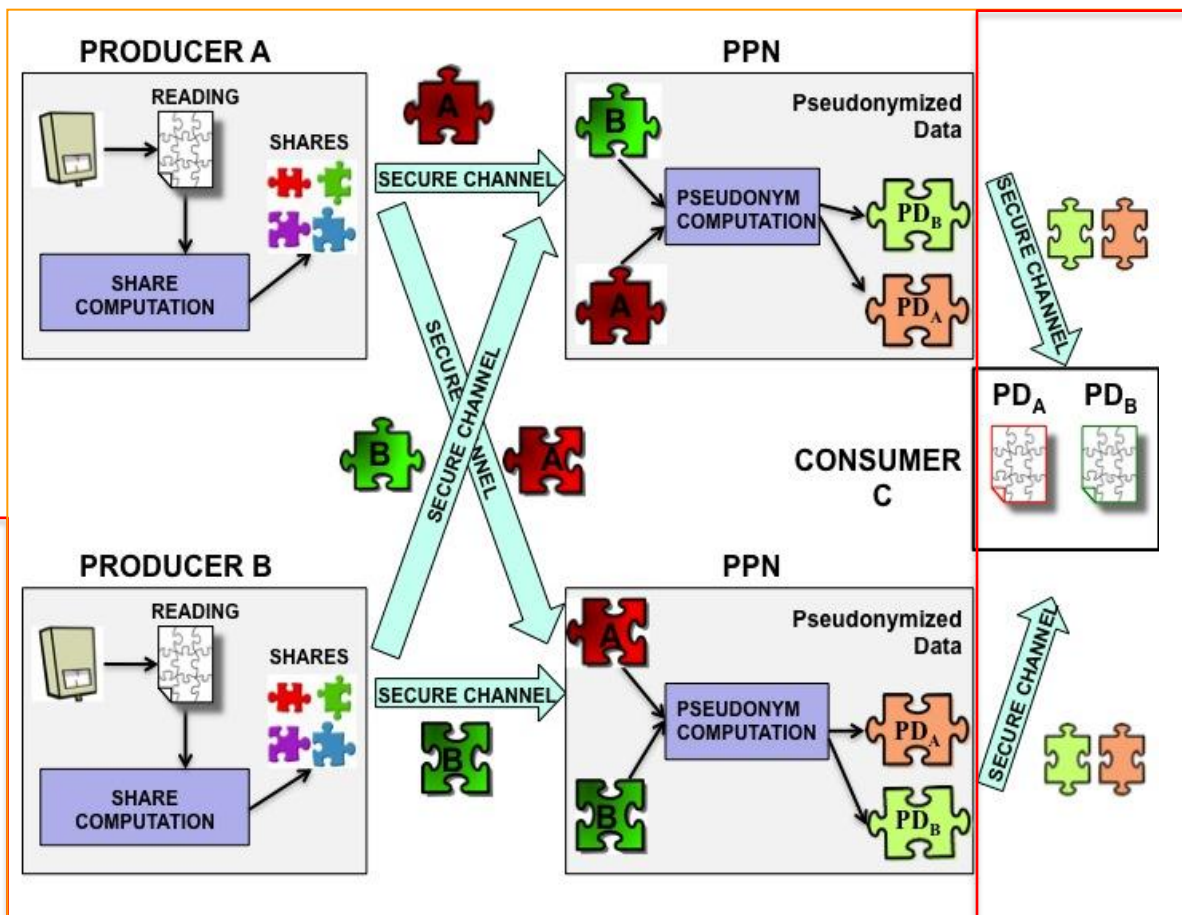
## Shamir Secret Sharing Scheme

At every round, the following procedure is repeated:

1. Producer  $p$  divides its measurements in  $t$  shares and gives one share to each of the  $t$  PPNs.

2. PPN  $n$  receives a share from Producer  $p$  destined to Consumer  $c$ , computes the pseudonym basing on  $p$  and  $c$  and sends it to  $c$  together with the share.

3. Consumer  $c$  combines the shares associated to the same pseudonym and recovers the measurements.







- We compare the performance of our proposed protocol with:
  - ✓ Mixing Scheme: it moves the computational load on the Producer that computes its pseudonym and creates the mixing packet. The Producers encrypt with RSA and the PPNs only forward messages.
  - ✓ Proxy Re-Encryption Scheme: it guarantees that a collusion of all PPN can't obtain the relation between Producer's measurement and identity. The Producers and PPNs encrypt with Paring based algorithm.
- We evaluate the number of sent messages and the computational cost in the three scheme.



# Results (I)

## Number of Sent Messages



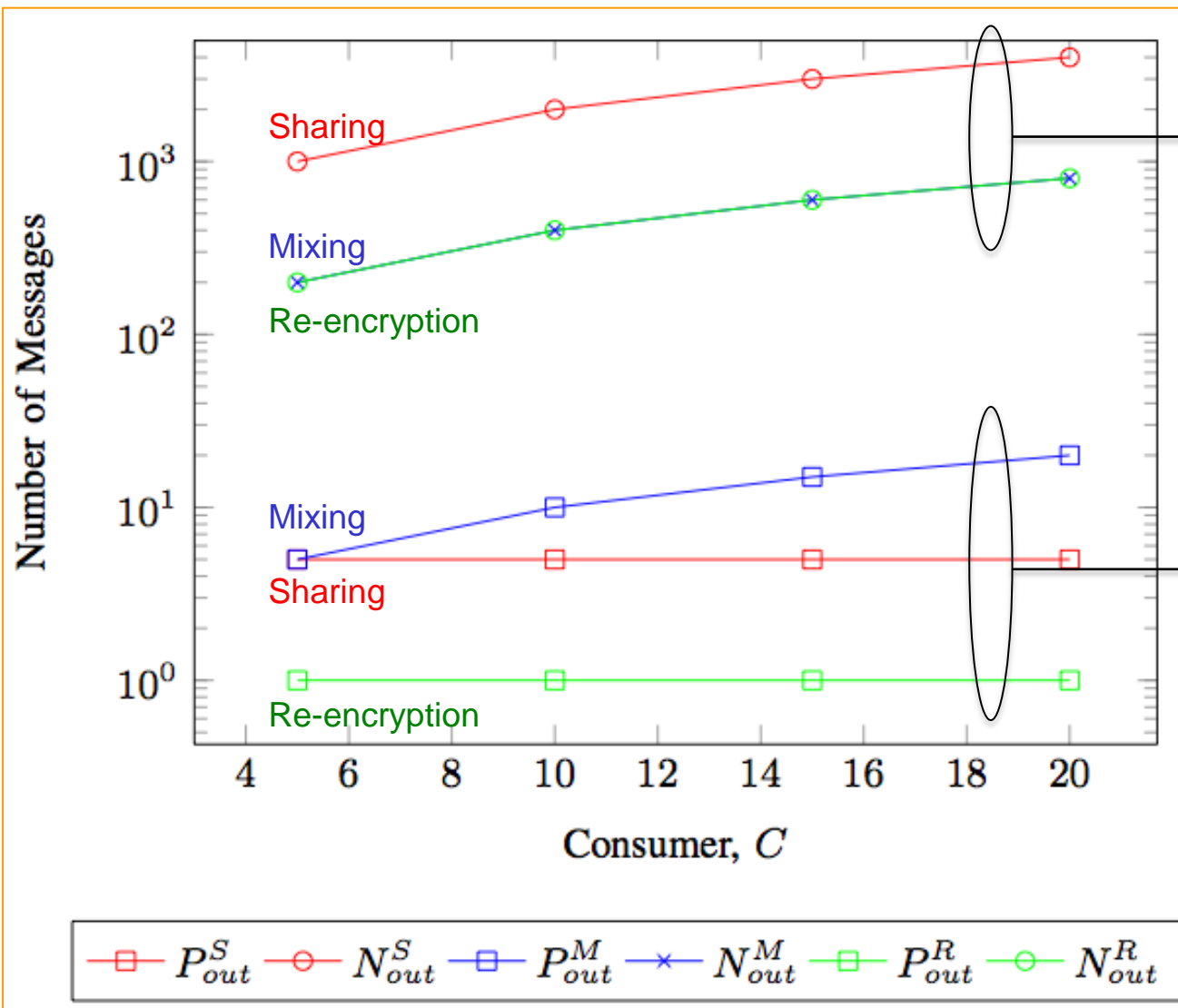
| Asymptotic Values              | Mixing Scheme     | Shamir Secret Sharing | Proxy Re-Encryption |
|--------------------------------|-------------------|-----------------------|---------------------|
| Messages sent by each Producer | $ C $             | $ N $                 | 1                   |
| Messages sent by each PPN      | $ P  *  C  /  N $ | $ P  *  C $           | $ P  *  C  /  N $   |





# Results (I)

## Number of Sent Messages



$|P|=200, |N|=5$



# Results (II)

## Computational Costs



| Asymptotic Values | Mixing Scheme                                   | Shamir Secret Sharing                           | Proxy Re-Encryption                             |
|-------------------|---|---|---|
| Producer          | $ C  * \text{Cost}(\text{RSA}_{\text{enc}})$    | $\text{Cost}(\text{Share}_{\text{gen}})$        | $\text{Cost}(\text{Pairing})$                   |
| PPN               | $ P  *  C  /  N  * \text{Cost}(\text{Forward})$ | $ P  *  C  * \text{Cost}(\text{Forward})$       | $ P  *  C  /  N  * \text{Cost}(\text{Pairing})$ |
| Consumer          | $ P  * \text{Cost}(\text{RSA}_{\text{dec}})$    | $ P  * \text{Cost}(\text{Share}_{\text{join}})$ | $2 *  P  * \text{Cost}(\text{Pairing})$         |



# Results (II)

## Computational Costs

| C=10     | Mixing   | Sharing | Re-Encryption |
|----------|----------|---------|---------------|
| Producer | 5,12 ms  | 0,10 ms | 21,43 ms      |
| PPN      | -        | -       | > 5 min       |
| Consumer | 4,86 s   | 2,11 s  | > 5 min       |
| C=50     | Mixing   | Sharing | Re-Encryption |
| Producer | 25,60 ms | 0,10 ms | 21,43 ms      |
| PPN      | -        | -       | >> 5 min      |
| Consumer | 4,86 s   | 2,11 s  | > 5 min       |
| C=100    | Mixing   | Sharing | Re-Encryption |
| Producer | 51,20 ms | 0,10 ms | 21,43 ms      |
| PPN      | -        | -       | >> 5 min      |
| Consumer | 4,86 s   | 2,11 s  | > 5 min       |

$|P|=1000, |N|=5$

Processor: 2.7 GHz Intel Core i7, Memory: 4GB 1333 MHz DDR3



- ✓ We propose a pseudonymization protocol for smart metering measurements,
  - ✓ The protocol allows collecting metering data without revealing the association between users' identities and their pseudonyms,
  - ✓ We described a possible implementation of the proposed algorithm,
  - ✓ This work evaluates the security guarantees and the performance the algorithm achieves.
  - ✓ We compare three different solutions in terms of number of sent messages and computational costs.
- Results show that the most suitable protocol is the one based on pseudonymization with the Shamir secret sharing scheme.



THANK YOU

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- Solutions proposed for protecting user data in AMI (Advanced Metering Infrastructure):
  - ✓ Zero-knowledge cryptographic protocols [1],
  - ✓ Data aggregation [2],
  - ✓ Escrow services [3].
- Our solution has been proposed in:
  - ✓ C. Rottondi, G. Mauri and G. Verticale, “A data pseudonymization protocol for smart grids”, in *IEEE OnLine Conference on Green Communication*, 2012.

[1] A. Rial and G. Danezis, “Privacy-preserving smart metering,” in *Proceedings of the 10th annual ACM workshop on Privacy in the electronic society*, ser. WPES 2011.

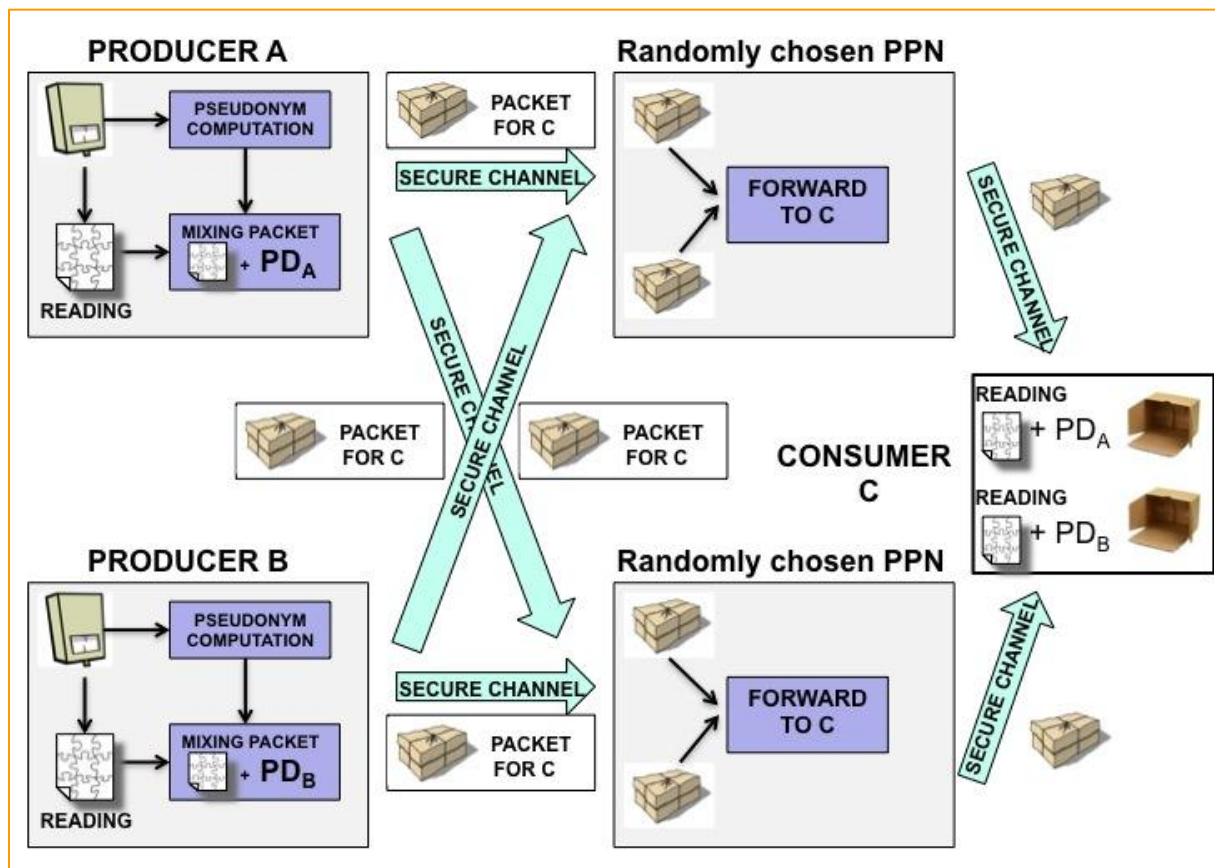
[2] C. Rottondi, G. Verticale, and A. Capone, “A security framework for smart metering with multiple data consumers,” in *First IEEE INFOCOM CCSSES Workshop on Green Networking and Smart Grids*, 2012.

[3] C. Efthymiou and G. Kalogridis, “Smart grid privacy via anonymization of smart metering data,” in *First IEEE International Conference on Smart Grid Communications (SmartGridComm)*, 2010



At every round, the following procedure is repeated:

1. Producer  $p$  generates the measurements and computes its pseudonym. It creates the mixing packet, composed by measurement and pseudonym, that is sent to a randomly chosen PPN  $n$ .
2. PPN  $n$  forwards the packet to the Consumer  $c$ , to whom the message is destined.
3. Consumer  $c$  recovers the individual data by decrypting the packets.







At every round, the following procedure is repeated:

1. Producer  $p$  encrypt its measurements based on the identity of PPN, to whom the message is destined.
2. PPN  $n$  computes the pseudonym basing on  $p$  and  $c$ , re-encrypt the packet and sends it to  $c$
3. Consumer  $c$  recovers the individual data by double decrypting the packets.

