# Using sparse codes in cryptographic primitives 

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## Code-based Cryptography

- Cryptographic primitives based on the decoding problem (decoding a random-like code)
- McEliece and Niederreiter cryptosystems: publickey cryptosystems based on the decoding problem
- Courtois-Finiasz-Sendrier (CFS) and Kabatianskii-Krouk-Smeets (KKS) systems: digital signature schemes based on the decoding problem


## The Quantum Computer Threat

- Quantum computers allow to factorize large integers and to compute discrete logarithms in polynomial time
- They will seriously endanger RSA, DSA,
 ECDSA...
- October 2011: University of Southern California, Lockheed Martin and D-Wave Systems develop DWave One
- August 2012: Harvard Researchers Use D-Wave quantum computer to fold proteins
- May 2013: NASA and Google jointly order a 512 qubit D-Wave Two


## McEliece cryptosystem

- Public Key Cryptosystem (PKC) proposed by McEliece in 1978, exploiting the problem of decoding a random linear code
- Private key:

$$
\{\mathbf{G}, \mathbf{S}, \mathbf{P}\}
$$

- G: generator matrix of a t-error correcting Goppa code
- S: kxknon-singular scrambling matrix
- P: nxn permutation matrix
- Public key:

$$
\mathbf{G}^{\prime}=\mathbf{S G P}
$$

## McEliece cryptosystem (2)

- Encryption map:

$$
\mathbf{x}=\mathbf{U} \mathbf{G}^{\prime}+\mathbf{e}
$$

- Decryption map:

$$
\mathbf{x}^{\prime}=\mathbf{x P ^ { - 1 }}=\mathbf{U S G}+\mathbf{e P}^{-1}
$$

all errors are corrected, thus obtaining:

$$
\begin{gathered}
\mathbf{u}^{\prime}=\mathbf{U S} \\
\mathbf{U}=\mathbf{U}^{\prime} \mathbf{S}^{-1}
\end{gathered}
$$

## Goppa codes and key size

- Any degree-t (irreducible) polynomial generates a different Goppa code
- So, the number of different codes with same parameters and correction capability is very high
- Their matrices are non-structured, thus their storage requires kn bits, which are reduced to rk bits with a CCA2 secure conversion [1]
- Despite this, key size is large and grows quadratically with the code length
[1] K. Kobara, H. Imai, "Semantically secure McEliece public-key cryptosystems - conversions for McEliece PKC", Proc. PKC 2001, pp. 19-35.


## LDPC codes

- Low-Density Parity-Check (LDPC) codes are capacityachieving codes under Belief Propagation decoding
- They allow a random-based design, which results in large families of codes with similar characteristics
- The low density of their parity-check matrices could be used to reduce the key size, but this exposes the system to key recovery attacks
- Hence, , the permutation matrix $\mathbf{P}$ must be replaced with a denser matrix $\mathbf{Q}$ which makes the public code denser as well
[2] C. Monico, J. Rosenthal, and A. Shokrollahi, "Using low density parity check codes in the McEliece cryptosystem," in Proc. IEEE ISIT 2000, Sorrento, Italy, Jun. 2000, p. 215.
[3] M. Baldi, F. Chiaraluce, "Cryptanalysis of a new instance of McEliece cryptosystem based on QC-LDPC codes," Proc. IEEE ISIT 2007, Nice, France (June 2007) 2591-2595
[4] A. Otmani, J.P. Tillich, L. Dallot, "Cryptanalysis of two McEliece cryptosystems based on quasi-cyclic codes," Proc. SCC 2008, Beijing, China (April 2008)


## QC-LDPC codes with rate $\left(n_{0}-1\right) / n_{0}$

- A more efficient way to reduce the key size is to use dense public keys but with structured LDPC codes
- QC-LDPC codes with $\mathbf{H}$ as a row of circulant matrices:

$$
\mathbf{H}=\left[\begin{array}{llll}
\mathbf{H}_{0}^{c} & \mathbf{H}_{1}^{c} & \mathrm{~L} & \mathbf{H}_{n_{0}-1}^{c}
\end{array}\right] \longleftarrow \begin{gathered}
\text { completely } \\
\text { described by }
\end{gathered}
$$

- Systematic generator matrix:

$\int_{-1}$ itcompletely described by its $(k+1)$-th column

$$
\begin{gathered}
{\left[\left(\mathbf{H}_{n_{0}-1}^{c}\right)^{-1} \cdot \mathbf{H}_{0}^{c}\right]^{T}} \\
{\left[\left(\mathbf{H}_{n_{0}-1}^{c}\right)^{-1} \cdot \mathbf{H}_{1}^{c}\right]^{T}} \\
{\left[\left(\mathbf{H}_{n_{0}-1}^{c}\right)^{-1} \cdot \mathbf{H}_{n_{0}-2}^{c}\right]^{T}}
\end{gathered}
$$

[5] M. Baldi, M. Bodrato, F. Chiaraluce, "A New Analysis of the McEliece Cryptosystem based on QC-LDPC Codes," Proc. SCN 2008, Amalfi, Italy, vol. 5229 of LNCS., Springer (2008) 246-262

## Key Size and Security level

- Minimum attack WF for $m=7$ :

| $p$ [bits] |  | 4096 | 5120 | 6144 | 7168 | 8192 | 9216 | 10240 | 11264 | 12288 | 13312 | 14336 | 15360 | 16384 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $n_{0}=3$ | $d_{v}=13$ | $2^{54}$ | $2^{63}$ | $2^{73}$ | $2^{84}$ | $2^{94}$ | $2^{105}$ | $2^{116}$ | $2^{125}$ | $2^{135}$ | $2^{146}$ | $2^{157}$ | $2^{161}$ | $2^{161}$ |
|  | $2^{54}$ | $2^{64}$ | $2^{75}$ | $2^{85}$ | $2^{94}$ | $2^{105}$ | $2^{116}$ | $2^{126}$ | $2^{137}$ | $2^{146}$ | $2^{157}$ | $2^{168}$ | $2^{179}$ |  |
| $n_{0}=4$ | $d_{v}=13$ | $2^{60}$ | $2^{73}$ | $2^{85}$ | $2^{98}$ | $2^{109}$ | $2^{121}$ | $2^{134}$ | $2^{146}$ | $2^{153}$ | $2^{154}$ | $2^{154}$ | $2^{154}$ | $2^{154}$ |
|  | $2^{62}$ | $2^{75}$ | $2^{88}$ | $2^{100}$ | $2^{113}$ | $2^{127}$ | $2^{138}$ | $2^{152}$ | $2^{165}$ | $2^{176}$ | $2^{176}$ | $2^{176}$ | $2^{176}$ |  |

- Key size (in bytes):

| $p$ [bits] | 4096 | 5120 | 6144 | 7168 | 8192 | 9216 | 10240 | 11264 | 12288 | 13312 | 14336 | 15360 | 16384 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $n_{0}=3$ | 1024 | 1280 | 1536 | 1792 | 2048 | 2304 | 2560 | 2816 | 3072 | 3328 | 3584 | 3840 | 4096 |
| $n_{0}=4$ | 1536 | 1920 | 2304 | 2688 | 3072 | 3456 | 3840 | 4224 | 4608 | 4992 | 5376 | 5760 | 6144 |

[6] M. Baldi, M. Bianchi, F. Chiaraluce, "Security and complexity of the McEliece cryptosystem based on QC-LDPC codes", IET Information Security, in press, http://arxiv.org/abs/1109.5827

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## Comparison with Goppa codes

- Comparison considering the Niederreiter version with 80-bit security (CCA2 secure conversion)

| Solution | n | k | $\mathbf{t}$ | Key size <br> lbytes] | Enc. <br> compl. | Dec. <br> compl. |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Goppa <br> based | 1632 | 1269 | 33 | 57581 | 48 | 7890 |
| QC-LDPC <br> based | 24576 | 18432 | 38 | 2304 | 1206 | 1790 (BF) |

- For the QC-LDPC code-based system, the key size grows linearly with the code length, due to the quasi-cyclic nature of the codes, while with Goppa codes it grows quadratically


## MDPC code-based variant

- A recent follow-up uses Moderate-Density Parity-Check (MDPC) codes in the place of LDPC codes
- With MDPC codes, the public code can still be permutation equivalent to the private code
- Using randomly designed MDPC codes has permitted to obtain the first security reduction (to the random linear code decoding problem ) for these schemes
- On the other hand, decoding MDPC codes is more complex than for LDPC codes
[7] R. Misoczki, J.-P. Tillich, N. Sendrier, P. S. L. M. Barreto, "MDPC-McEliece: New McEliece Variants from Moderate Density Parity-Check Codes", cryptology ePrint archive, http://eprint.iacr.org/2012/409


## Code Density Optimization

- To use LDPC codes securely, the permutation matrix P must be replaced with a matrix $\mathbf{Q}$ having average row and column weight $m, 1<m \ll n$
- This avoids the existence of a sparse (and hence weak) representation for the public code...
- ...but also increases the number of intentional errors by a factor up to m
- The choice of $m$ can be optimized by using simple tools
[8] M. Baldi, M. Bianchi, F. Chiaraluce, "Optimization of the parity-check matrix density in QC-LDPC codebased McEliece cryptosystems", to be presented at IEEE ICC 2013, http://arxiv.org/abs/1303.2545


## Attacks Work Factor $\left(\log _{2}\right)$

Dual code attacks


Public code $\mathbf{H}$ column weight ( $\mathrm{d}_{\mathrm{v}}^{\prime}$ )

Information Set Decoding


Number of intentional errors $(t)$

## Private Code Density Design

- Design procedure:
- Fix the security level
- Obtain $d_{v}$ ' and $t$
- Fix $n$
- Find $m$ such that there is a length-n code with $d_{v}=d_{v}{ }^{\prime} / m$ and able to correct $\dagger$ ' $=$ tm errors
- The higher m, the lower decoding complexity
- Hence, LDPC codes are advantageous over MDPC codes


## Number of correctable errors



Code length (n)

## Irregular Codes

- Irregular LDPC codes achieve higher error correction than regular ones
- This can be exploited to increase the system efficiency by reducing the code length...
- ...although the QC structure and the need to avoid enumeration impose some constraints

160-bit security

| QC-LDPC <br> code type | $n_{0}$ | $d_{v}{ }^{\prime}$ | $t$ | $d_{v}$ | $n$ | Key size <br> (bytes) |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| regular | 4 | 97 | 79 | 13 | 54616 | 5121 |
| irregular | 4 | 97 | 79 | 13 | 46448 | 4355 |

[9] M. Baldi, M. Bianchi, N. Maturo, F. Chiaraluce, "Improving the efficiency of the LDPC code-based McEliece cryptosystem through irregular codes", to be presented at IEEE ISCC 2013

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## Code Based Signature Schemes

- Standard signature schemes rely on classic cryptographic primitives as RSA and DSA
- They will be endangered by quantum computers as well as RSA and DSA
- Code-based cryptographic primitives could be used for digital signatures
- Two main schemes were proposed for code based signatures:
> Kabatianskii-Krouk-Smeets (KKS)
> Courtois-Finiasz-Sendrier (CFS)


## KKS

- The KKS scheme is quite different from traditional code based cryptosystem
- It is based on two code, one selecting the subset support of the other
- It does not require a decoding phase
- Majour issue: there is an attack for almost all of the parameter sets


## CFS (1)

- Close to the original McEliece Cryptosystem
- It is based on Goppa codes
> Public:
> A hash function $\mathcal{H}(D)$
> A function $F(C, h)$ able to transform the hash $h$ into a correctable syndrome through the code C
> Initialization:
> The signer chooses a Goppa code G able to decode $\dagger$ errors and a parity check matrix $\boldsymbol{H}$ that allows decoding
> He chooses also a scrambling matrix $\boldsymbol{S}$ and publishes $\boldsymbol{H}^{\prime}=\mathbf{S H}$


## CFS (2)

> Signing the document D:
> The signer computes $s=F(G, \mathcal{H}(D))$
$>s^{\prime}=s\left(S^{\top}\right)^{-1}$
> He decodes the syndrome s' through the secret parity check matrix $\boldsymbol{H}$ : $\mathrm{eH}^{\boldsymbol{T}}=\mathrm{s}^{\prime}$
> The error $e$ is the signature
> Verification:
> The verifier computes $s=F(G, \mathcal{H}(D))$
$>$ He checks that $e H^{\top \top}=e\left(H^{\top} S^{\top}\right)=s\left(S^{\top}\right)^{-1} S^{\top}=s$

## CFS (3)

- The main problem is to find an efficient function $F(C, h)$
- For Goppa codes two techniques were proposed:
> Appending a counter to $\mathcal{H}(\mathrm{D})$ until a valid signature is generated
> Performing complete decoding
- Both these methods require codes with very special parameters:
> very low rate
> very small error correction capability


## CFS (4)

- Codes with small $\dagger$ and low rate could be decoded, with good probability, through the Generalized Birthday Paradox Algorithm (GBA)
- In GBA, the columns of $H^{\prime}$ summing in the desired vector are selected by partial zero-summing
- Decoding is not guaranteed (it is guaranteed in ISD decoding)
- GBA works with random vectors, for code-based algorithms the vectors are $H^{\prime}$ columns: lack of randomness requires extra-effort
- However, for CFS parameters, the average correct decoding probability is astonishing close to 1


## LDGM codes

- LDGM codes are codes with low density in the generator matrix G
- They are known for other applications like concatenated decoding
- We will consider LDGM generator matrix in the form:

$$
G=\left[I_{k} \mid A\right]
$$

- A valid parity check matrix is:

$$
H=\left[A^{T} \mid I_{r}\right]
$$

- $\boldsymbol{G}$ row weight is $\mathrm{w}_{\mathrm{G}}$


## Idea

- Using $\boldsymbol{H}$ in triangular form, it is trivial to find a vector e such that e $\boldsymbol{H}^{\top}=s$, for every $s$ : it is just $e=[0 \mid s]$
- In this simplified scenario e has maximum weight equal to $r$
- Differently from CFS not only decodable syndrome are used (every weight is permitted for s)
- We need to check that e has a relatively low weight, otherwise it is easy to find e' such that $e^{\prime} H^{\top}=s$ and the weight of $e^{\prime}$ is about $n / 2$
- I.e.

$$
e^{\prime}=\left(\left(\boldsymbol{H}^{\top}\left(\boldsymbol{H} \boldsymbol{H}^{\top}\right)^{-1}\right) s^{\top}\right)^{\top}
$$

## Proposed Scheme

- Use LDGM codes, fixing a target weight $w_{c}$
- Use $\boldsymbol{H}$ with an identity block somewhere (i.e. on the right end)
- $H^{\prime}=Q^{-1} H S^{-1}$
- $S$ is a sparse, not singular, matrix with row and column weight $m_{s}$
- $Q=R+T$
- $\boldsymbol{T}$ is a sparse, not singular, matrix with row and column weight $m_{T}$
- $\boldsymbol{R}=\mathbf{a}^{\top} \mathbf{b}$, with $\mathbf{a}, \mathbf{b}(z \times r)$ matrices
- Our $F(h, p)$ function has to transform an hash into a vector s such that $\mathbf{b s}=\mathbf{0}$ depending on the parameter $p$


## Signing

- The signer chooses secret $\mathbf{H}, \mathbf{Q}$ and $\mathbf{S}$
- He computes $s=F(\mathcal{H}(D), p)$, it requires $2^{z-1}$ attempts in the average case
- $s^{\prime}=\mathbf{Q}$
- He decodes the syndrome s' through the secret parity check matrix $\boldsymbol{H}$ : $\mathrm{eH}^{\top}=\mathrm{s}^{\prime}$, that is $\mathrm{e}=\left[0 \mid \mathrm{s}^{\prime}\right]$
- He chooses a random low-weight codeword c having weight $w_{c}$ that is (close to) a small multiple of $W_{G}, w_{c}$ is made public
- The signature is the couple $\left[p, e^{\prime}=(e+c) S^{\top}\right]$


## Verification

- The verifier computes the vector $s=F(\mathcal{H}(D), p)$ having weight w
- The verifier checks that the weight of $e^{\prime}$ is equal or smaller than $\left(m_{T} w+w_{C}\right) m_{s}$
- He checks that e' $H^{\top T}=s$


## Rationale

- Removing the request for low rate codes makes GBA unfeasable
- ISD algorithms are not able to find errors of moderately high weight
- The insertion of the codeword $c$ is needed to make the system not-linear (it becomes an affine map)
- The use of $\mathbf{Q}$ reinforces the system against the most dangerous known attack (Support Intersection Attack)
- We can use Quasi Cyclic codes in order to keep the public key size small


## Parameters

| SL (bits) | $n$ | $k$ | $p$ | $w$ | $w_{g}$ | $w_{c}$ | $z$ | $m_{T}$ | $m_{S}$ | $A_{w_{c}}$ | $N_{s}$ | $S_{k}(\mathrm{KiB})$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 80 | 9800 | 4900 | 50 | 18 | 20 | 160 | 2 | 1 | 9 | $2^{82.76}$ | $2^{166.10}$ | 117 |
| 120 | 24960 | 10000 | 80 | 23 | 25 | 325 | 2 | 1 | 14 | $2^{140.19}$ | $2^{242.51}$ | 570 |
| 160 | 46000 | 16000 | 100 | 29 | 31 | 465 | 2 | 1 | 20 | $2^{169.23}$ | $2^{326.49}$ | 1685 |

- For the same security levels (SL), CFS requires Key Sizes $\left(S_{k}\right)$ in the range 1.25-20 MiB (parallel version) or greater than 52 MiB (standard version)


## ESCAPADE research project

## http://escapade.dii.univpm.it

